

A Passive Filter Aided Timing Recovery Scheme

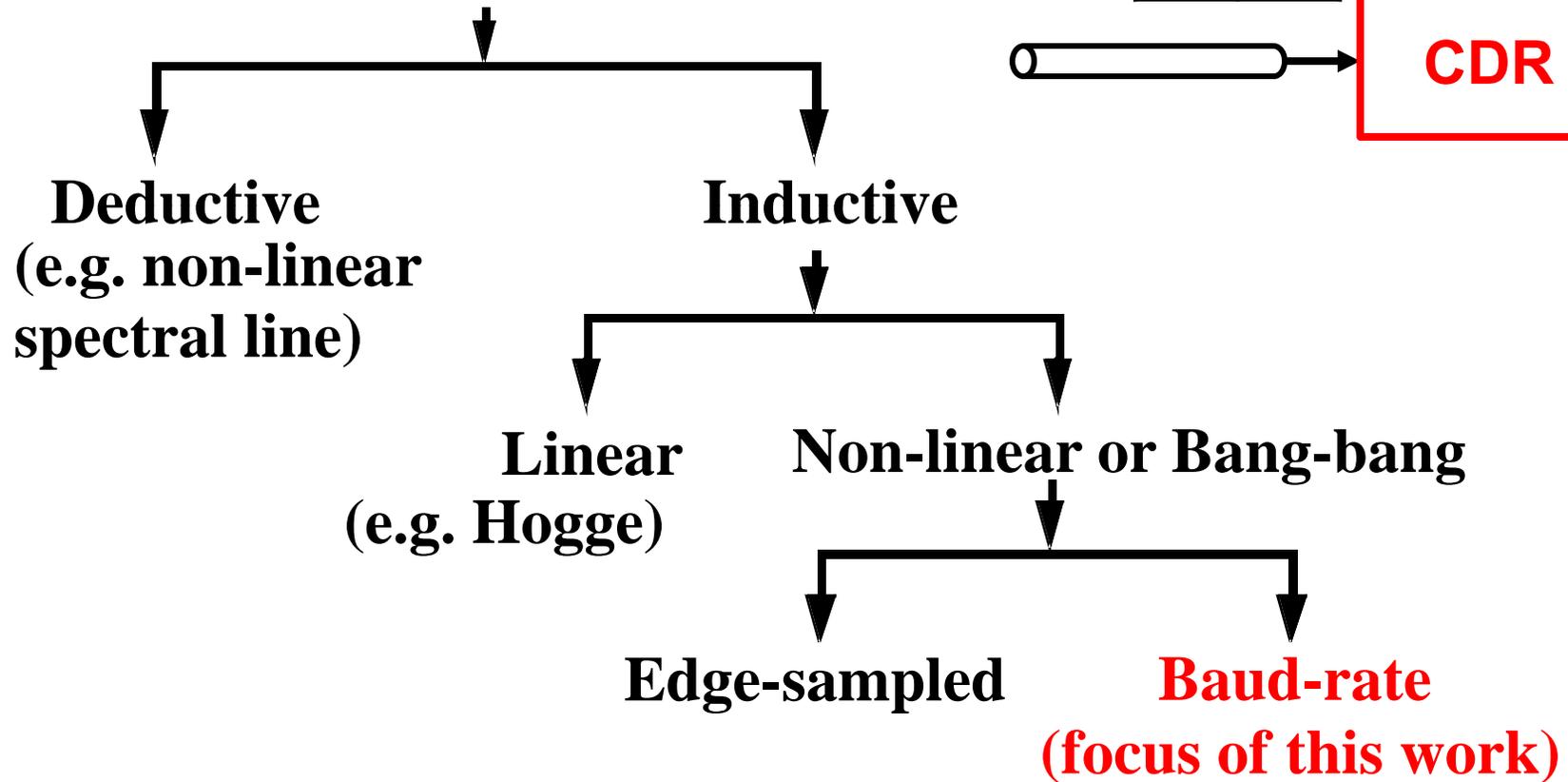
Faisal A. Musa, Anthony Chan Carusone
Department of Electrical and Computer Engineering
University of Toronto

Outline

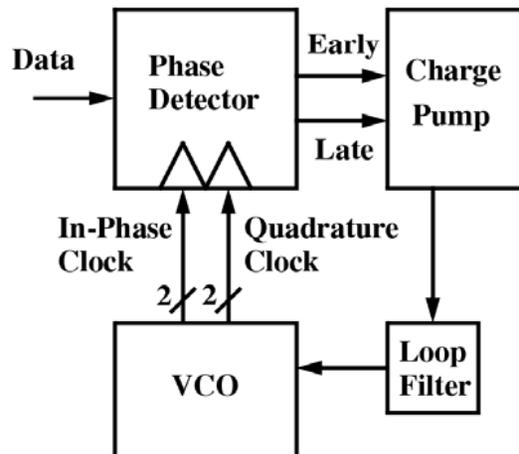
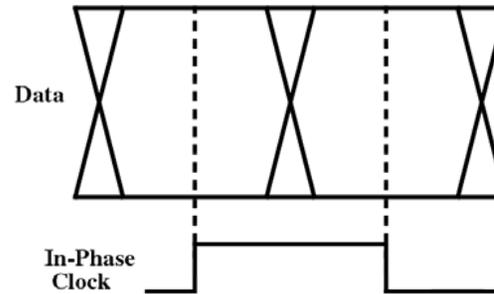
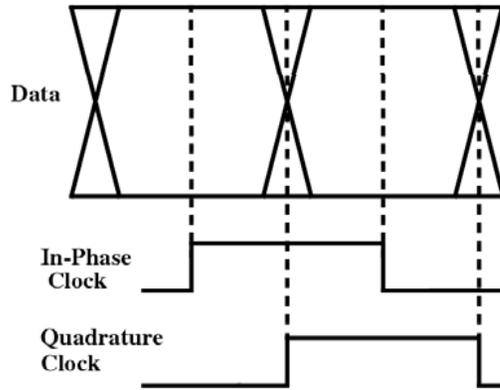
- Introduction
- Baud-rate timing recovery (TR) schemes
- Passive filter
- Measurement Results
- Conclusions

Introduction

Timing Recovery Techniques

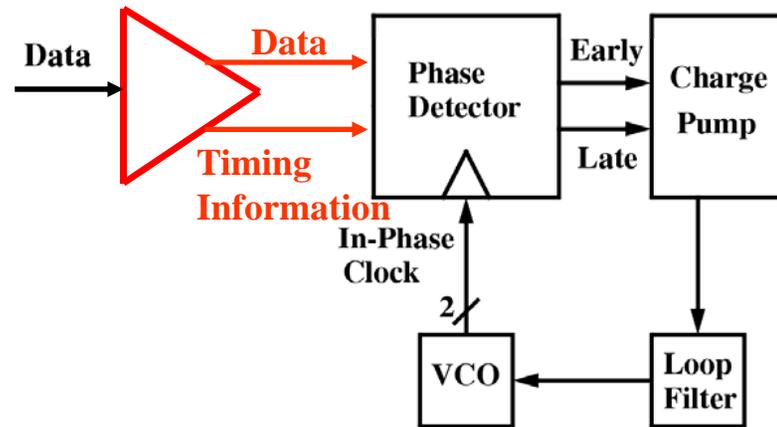


Introduction



Edge-sampled

Analog Signal Processor Front-End



Baud-Rate

Introduction

- Why baud-rate over edge-sampled?
 1. Reduced clock sampling phases results in less power in the VCO and phase detector.
 2. Better performance in the presence of ISI and random noise.

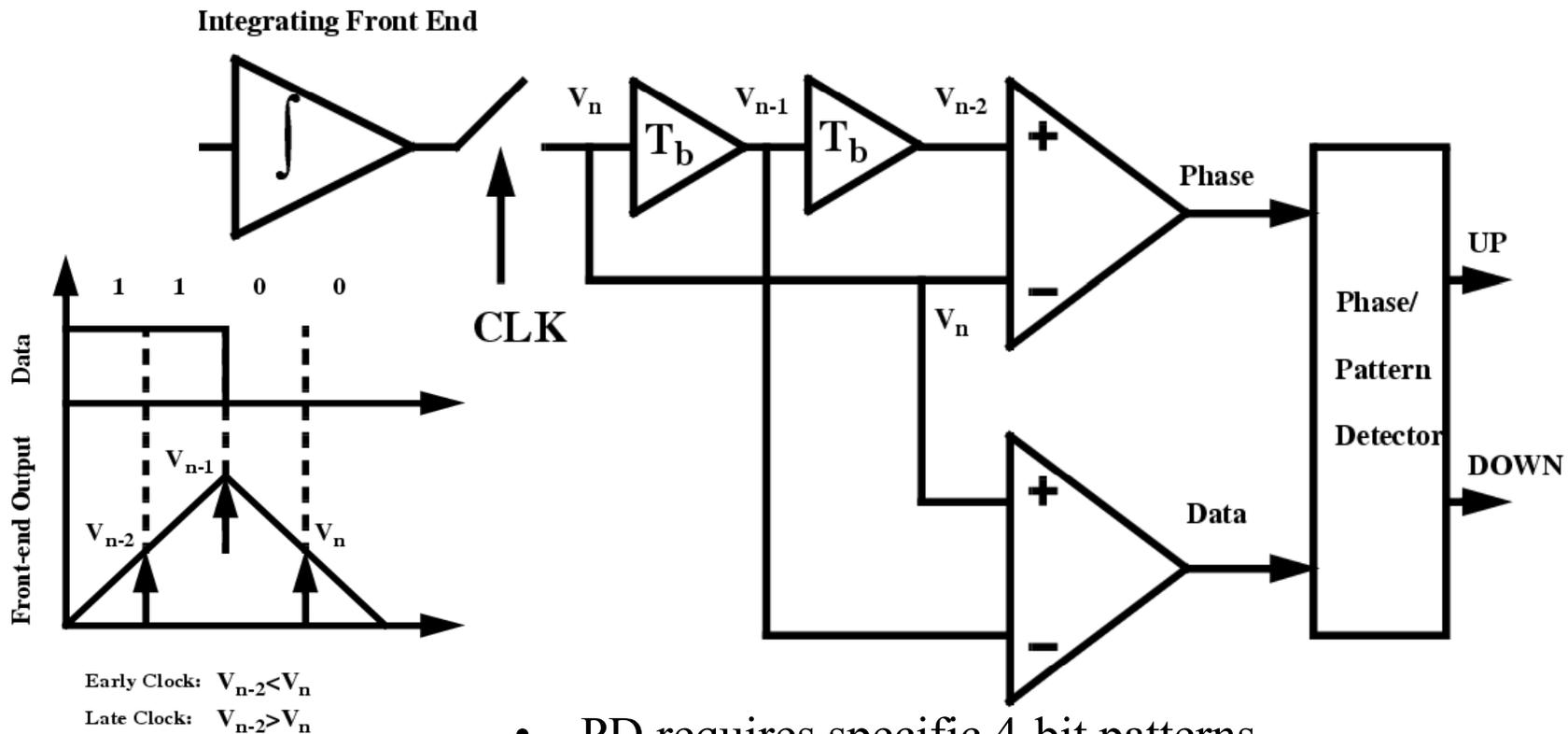
[F. Musa and A. Chan Carusone, "Modeling and Design of Multilevel Bang-bang CDRs in the Presence of ISI and Noise," *IEEE Transactions on Circuits and Systems I: Regular Papers*, Vol. 54, No. 10, October 2007.]

Baud-Rate TR Schemes

- Baud-rate architectures for serial links:
 1. Integrating front-end based clock recovery
 2. Mueller-Muller PD based clock recovery
 3. Minimum Mean-Squared Error (MMSE) timing recovery [This work]

Baud-Rate TR Schemes

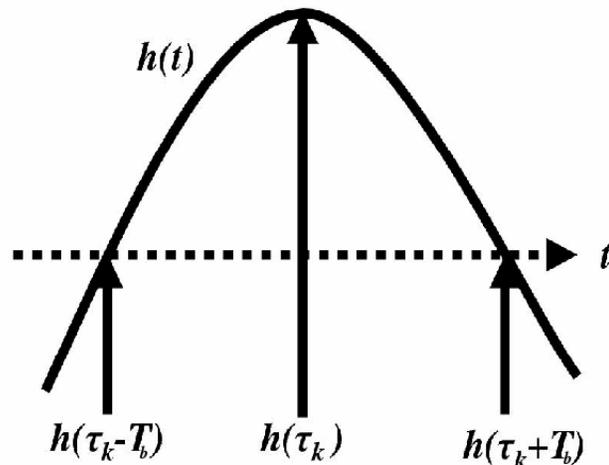
- Integrating Front-End Based PD
[Emami-Neyestanak, A.; Palermo, S.; Hae-Chang Lee; Horowitz, M.;
VLSI Symposium 2004]:



- PD requires specific 4-bit patterns

Baud-Rate TR Schemes

- Mueller-Muller Timing Recovery
[*IEEE Trans. on Comm.*, 1976; Balan *JSSC* 2005]

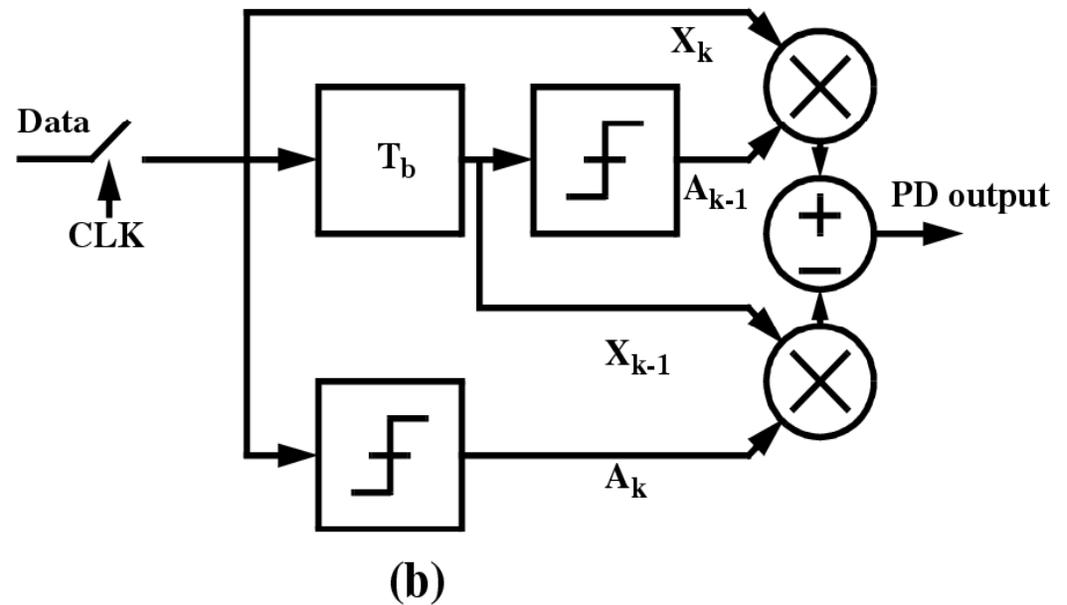


Locked Condition: $h(\tau_k - T_b) = h(\tau_k + T_b)$

Early Clock: $h(\tau_k - T_b) < h(\tau_k + T_b)$

Late Clock: $h(\tau_k - T_b) > h(\tau_k + T_b)$

(a)



(b)

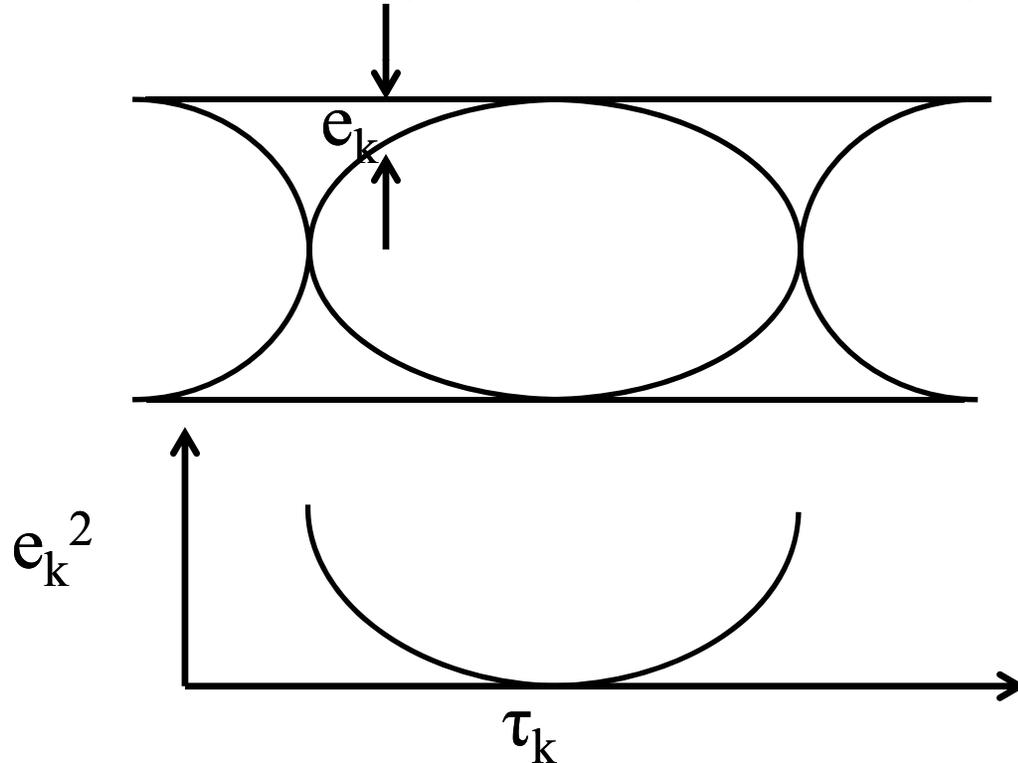
$$X_k A_{k-1} - X_{k-1} A_k \approx A^2 [h(\tau_k + T_b) - h(\tau_k - T_b)]$$

- True only for uncorrelated random data

Baud-Rate TR Schemes

- MMSE PD based CDR (This work):

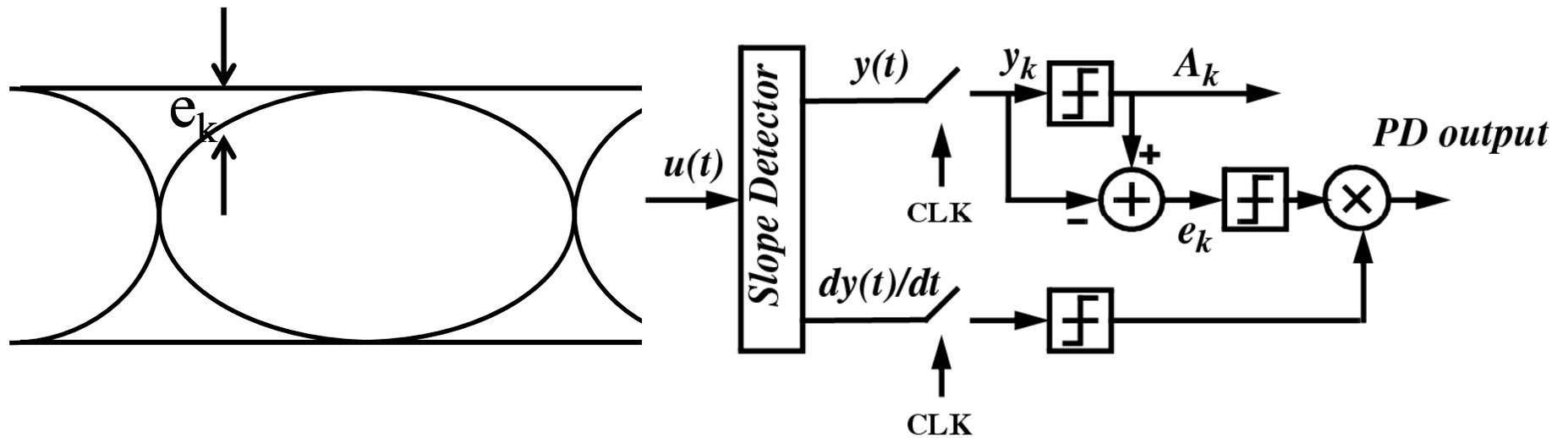
$$E_k = E[e_k^2] = E[\{A_k - y(kT_b + \tau_k)\}^2]$$



- MMSE updates the sampling phase, τ_k to minimize e_k^2 :

$$\begin{aligned}\tau_{k+1} &= \tau_k - \frac{dE[e_k^2]}{d\tau_k} \\ &= \tau_k + 2\mu e_k \frac{dy(kT_b + \tau_k)}{d\tau_k}\end{aligned}$$

Sign-Sign MMSE



$$\tau_{k+1} = \tau_k + 2\theta_{bb} \operatorname{sgn}[e_k] \operatorname{sgn}\left[\frac{dy(kT_b + \tau_k)}{d\tau_k}\right]$$

⇒ Bang-bang timing recovery

Baud-Rate TR Schemes

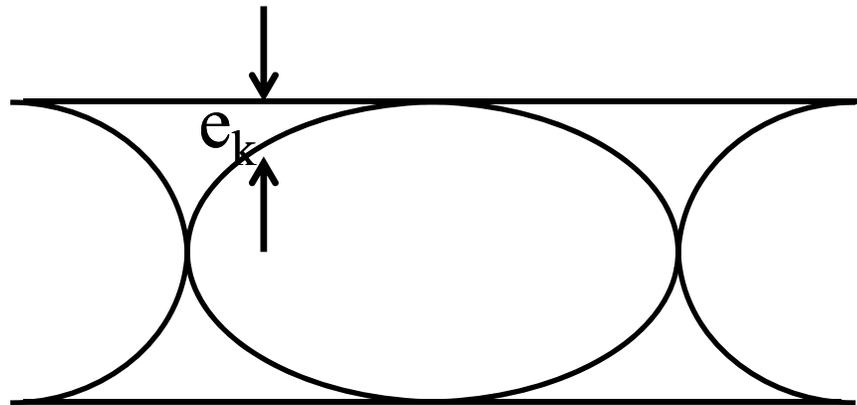
- Advantages of MMSE:

More robust than other baud-rate techniques since there are no constraints on the input data.

- Disadvantages:

Requires slope and error information.

Error-Signal Free Sign-Sign MMSE



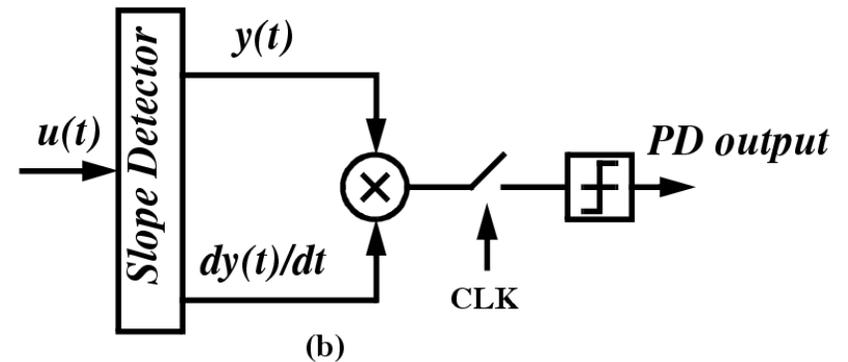
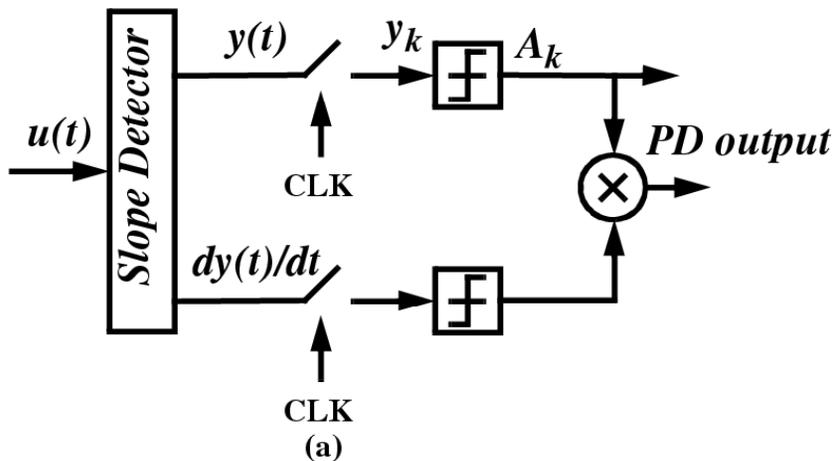
$$\begin{aligned}\tau_{k+1} &= \tau_k + \theta_{bb} \operatorname{sgn}[e_k] \operatorname{sgn}\left[\frac{dy(kT + \tau_k)}{d\tau_k}\right] \\ &\approx \tau_k + \theta_{bb} \operatorname{sgn}[y(kT + \tau_k)] \operatorname{sgn}\left[\frac{dy(kT + \tau_k)}{d\tau_k}\right] \quad \tau_{k+1} \approx \tau_k + \theta_{bb} \operatorname{sgn}\left[y(kT + \tau_k) \frac{dy(kT + \tau_k)}{d\tau_k}\right]\end{aligned}$$

Error-Signal Free Sign-Sign MMSE

$$\tau_{k+1} = \tau_k + \theta_{bb} \operatorname{sgn}[e_k] \operatorname{sgn}\left[\frac{dy(kT + \tau_k)}{d\tau_k}\right]$$

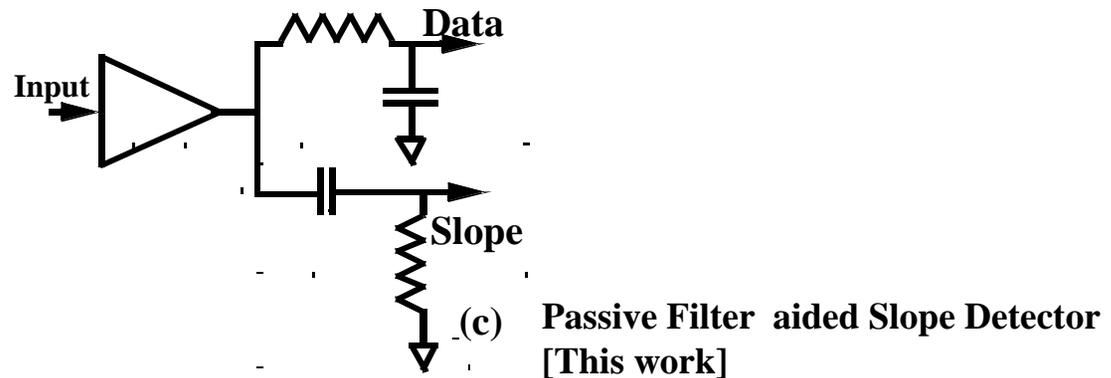
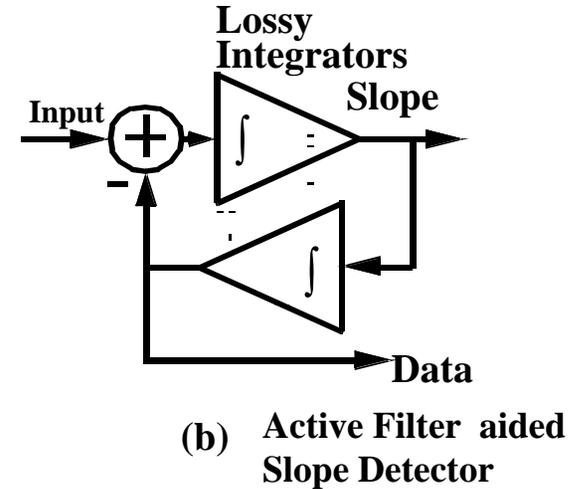
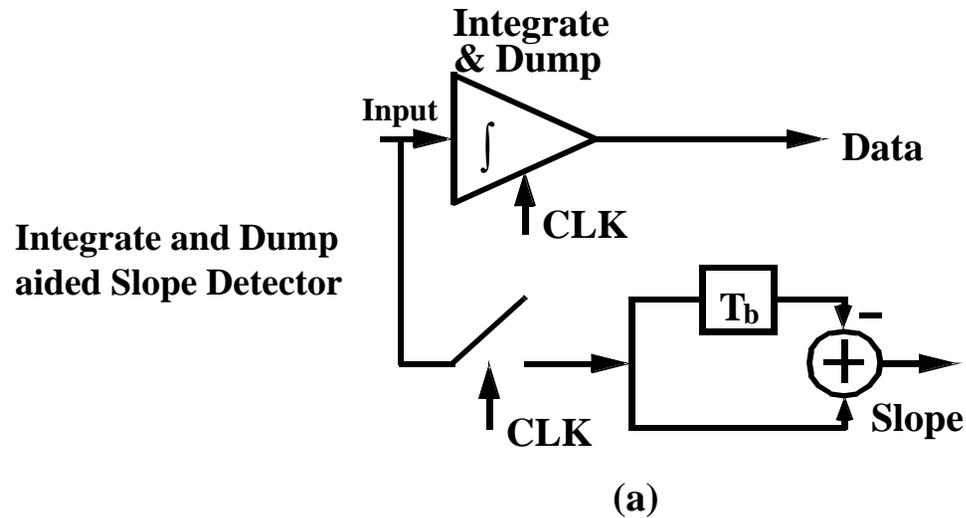
$$\approx \tau_k + \theta_{bb} \operatorname{sgn}[y(kT + \tau_k)] \operatorname{sgn}\left[\frac{dy(kT + \tau_k)}{d\tau_k}\right]$$

$$\tau_{k+1} \approx \tau_k + \theta_{bb} \operatorname{sgn}\left[y(kT + \tau_k) \frac{dy(kT + \tau_k)}{d\tau_k}\right]$$



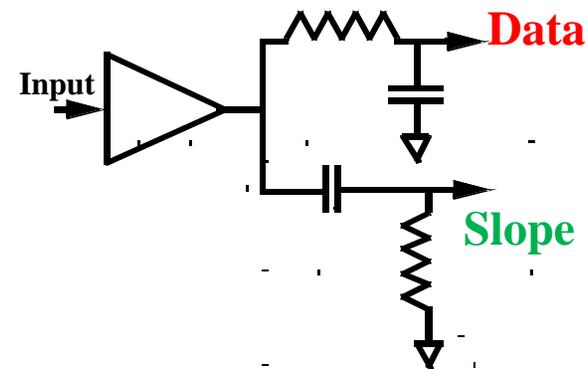
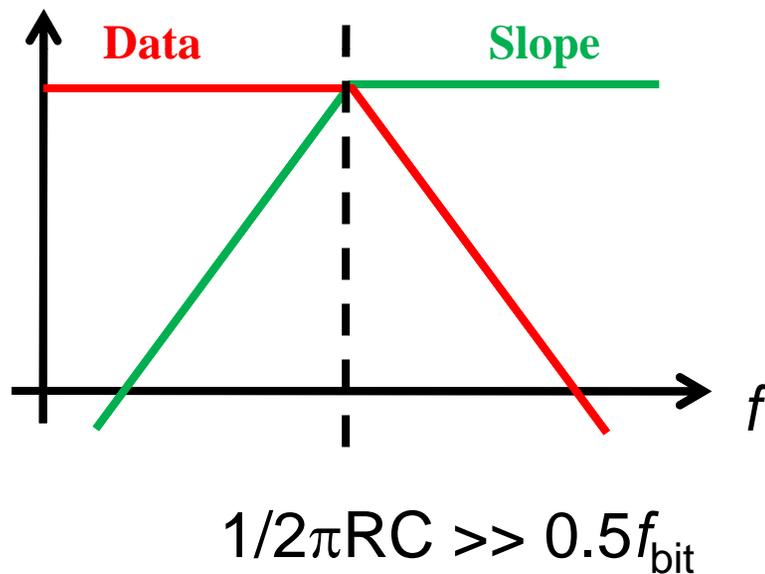
This work

Slope Detection Schemes

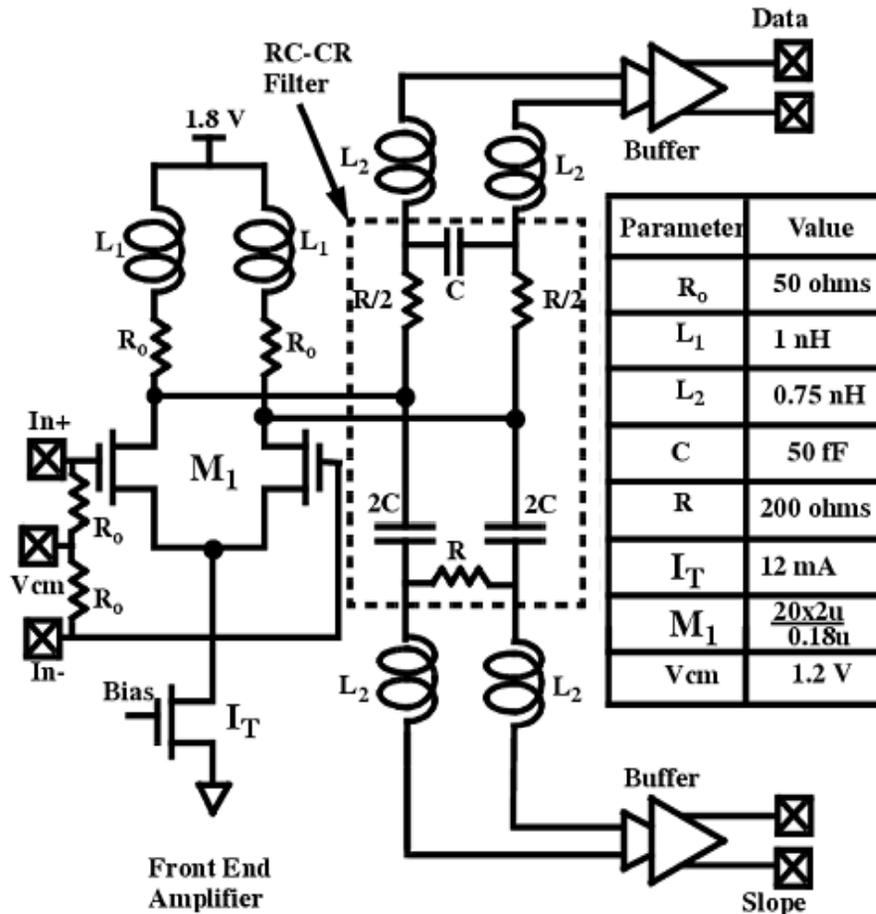


Choice of RC time constant

- For 10-Gb/s data, the RC time constant was chosen to be 10ps:
 $R = 200 \Omega$, $C = 50 \text{ fF}$

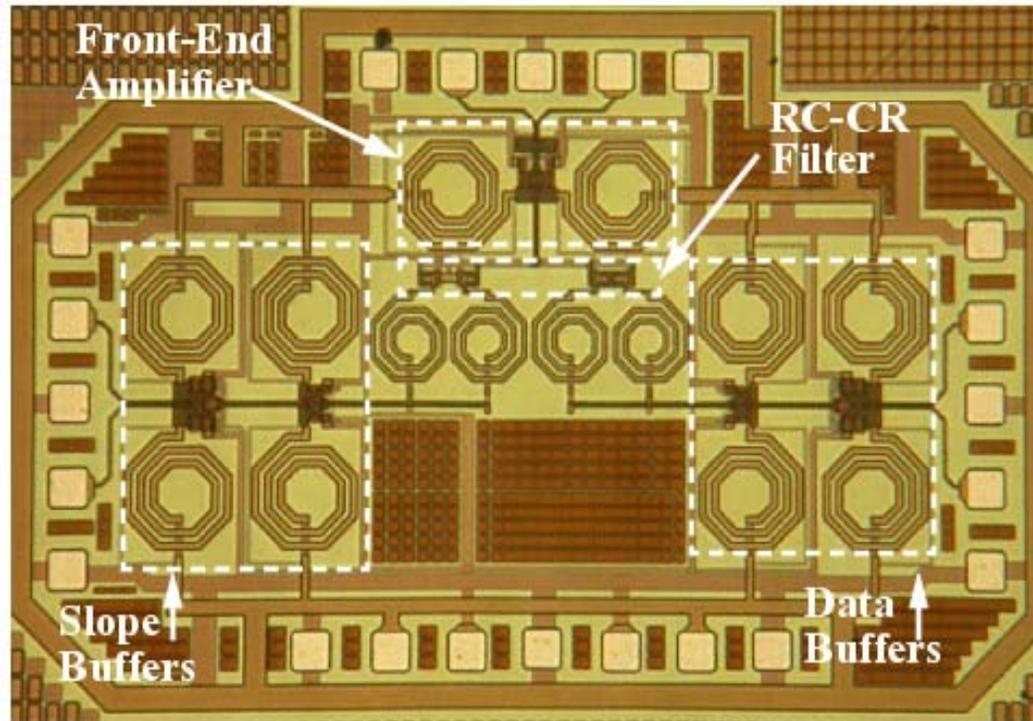


Passive Filter



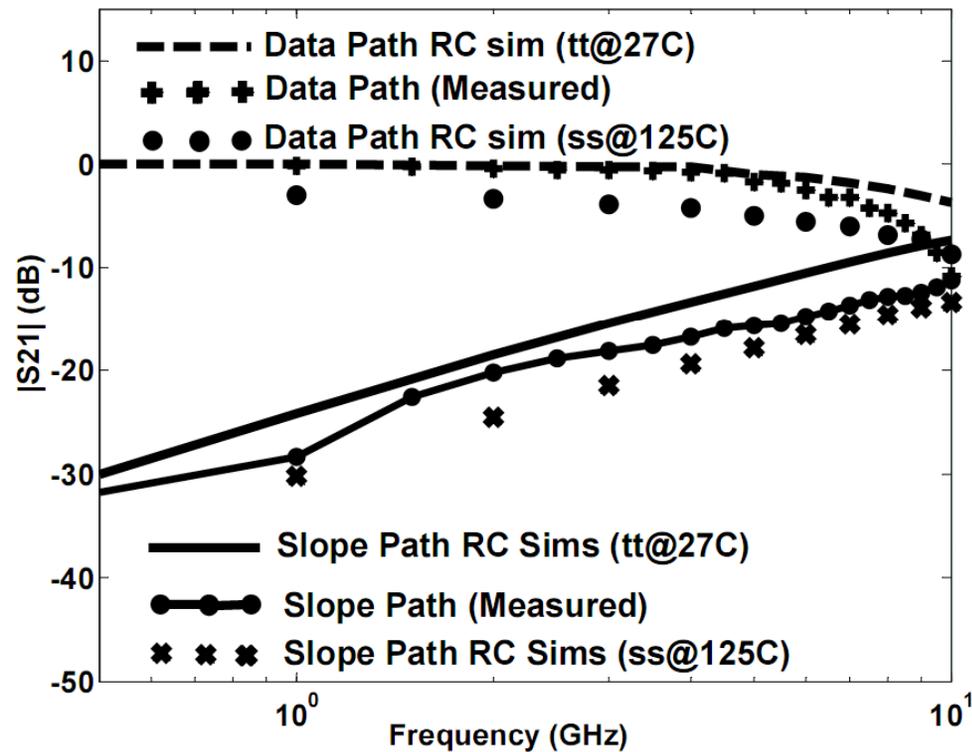
- Inductors improve bandwidth without compromising the relative phase shift between the data and slope paths.

Die Photo



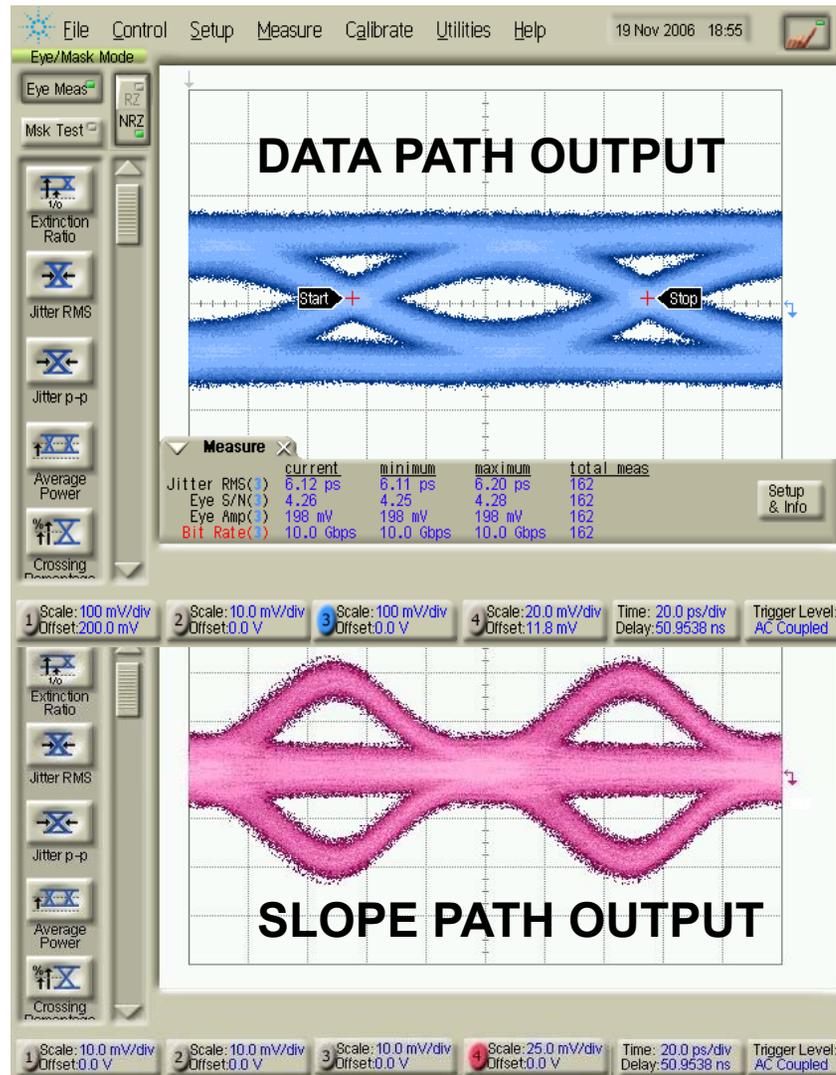
0.18 μm CMOS; Die area=1.1 mm^2

Measurement Results



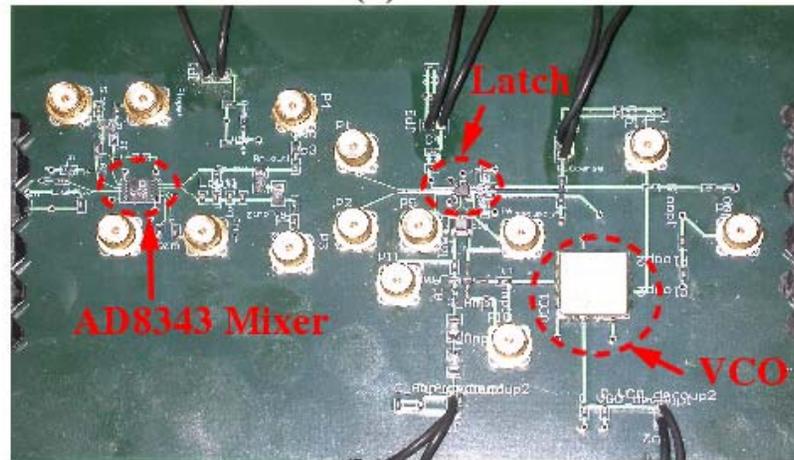
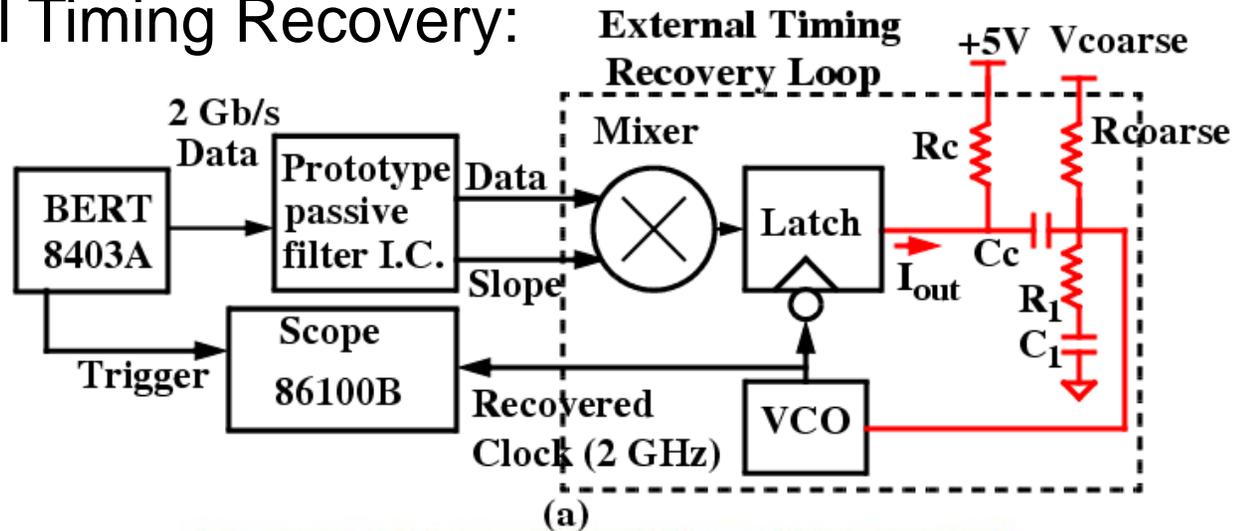
Network Analyzer Measurements:
Data Path Bandwidth (Measured)=6-GHz.
 S_{21} in Slope Path increases @ 20dB/dec.

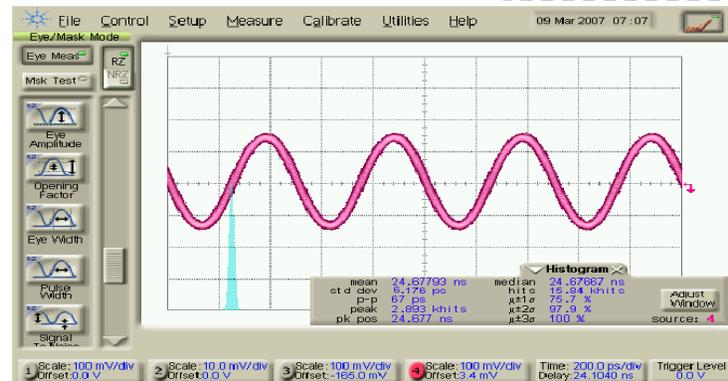
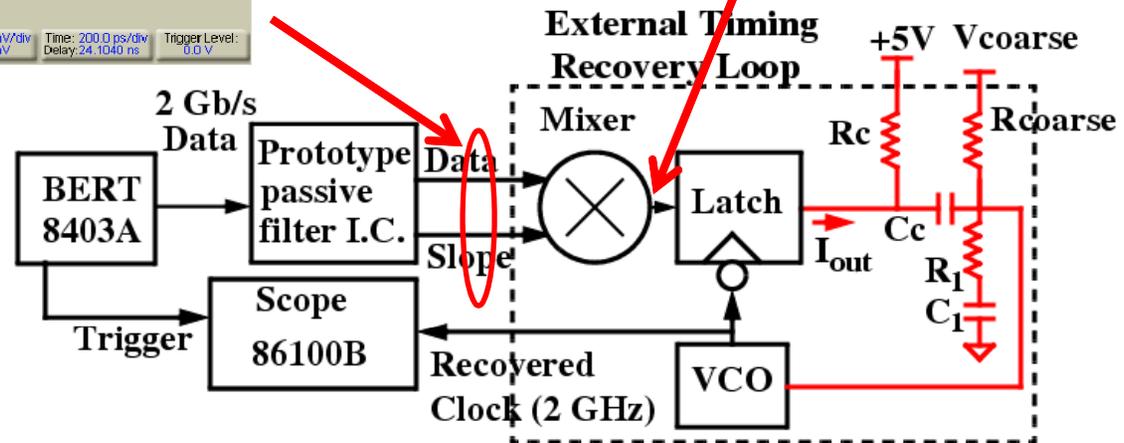
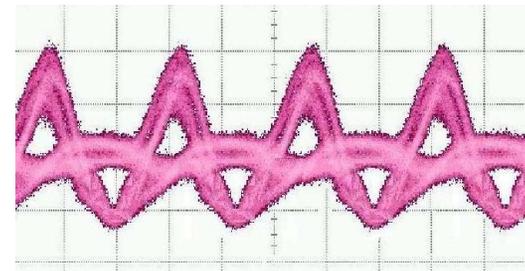
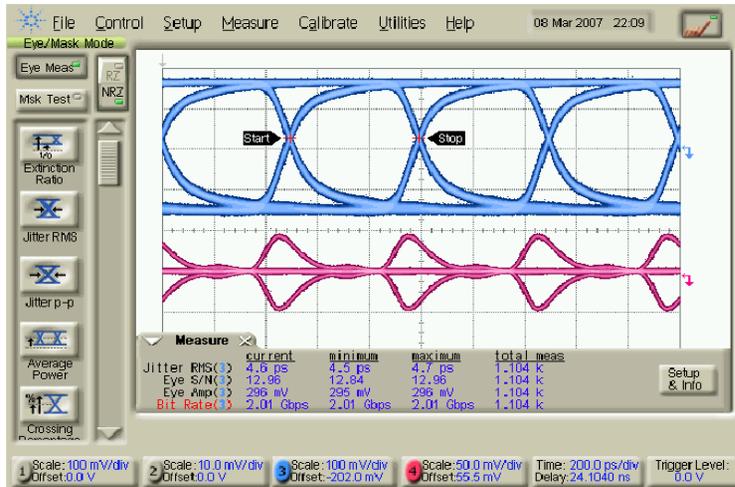
Measurement Results



Measurement Results

- External Timing Recovery:





Conclusions

- A passive filter that provides simultaneous low-pass and high-pass characteristics was presented.
- The high-pass transfer characteristic is utilized to provide slope information that is aligned with the low-pass data output.
- Data and slope signals from the passive filter can be used to recover a clock based on modified MMSE timing recovery.
- Prototype passive filter was used with external components to recover a 2-GHz clock from a 2-Gb/s $2^{31}-1$ random data sequence.

Thank you

Passive Filter

