## 6.7 A 28Gb/s Digital CDR with Adaptive Loop Gain for Optimum Jitter Tolerance

Joshua Liang<sup>1</sup>, Ali Sheikholeslami<sup>1</sup>, Hirotaka Tamura<sup>2</sup>, Yuuki Ogata<sup>2</sup>, Hisakatsu Yamaguchi<sup>2</sup>

<sup>1</sup>University of Toronto, Toronto, Canada <sup>2</sup>Fujitsu Laboratories, Kawasaki, Japan

As we move to higher data rates, the performance of clock and data recovery (CDR) circuits becomes increasingly important in maintaining low bit error rates (BER) in wireline links. Digital CDRs are popular in part for their robustness, but their use of bang-bang phase detectors (BB-PD) makes their performance sensitive to changes in jitter caused by PVT variations, crosstalk or power supply noise. This is because the gain of a BB-PD depends on the CDR input jitter, causing the loop gain of the CDR to change if the jitter magnitude or spectrum varies. This problem is illustrated in Fig. 6.7.1 where small jitter leads to excessive loop gain and hence to an underdamped behaviour in the CDR jitter tolerance (JTOL), while large jitter leads to insufficient loop gain and hence to low overall JTOL. To prevent this, we propose a CDR with an adaptive loop gain,  $K_{G}$ , as shown in Fig. 6.7.1.

To control the loop gain, prior works adapt the CDR loop filter (LF) based on the jitter bandwidth, as estimated by filtering [1] or detecting patterns in the PD output [2,3]. Both approaches assume that the best-case jitter spectrum is known a priori, which is not always true. In contrast, in this work, we increase  $K_G$  and therefore the CDR loop bandwidth to suppress the most jitter and minimize BER while preventing the CDR from becoming underdamped. We ensure this by monitoring the autocorrelation function (R(n)) of the PD output as we explain next.

Figure 6.7.2 shows the proposed adaptation concept. We increase  $K_G$  while monitoring the autocorrelation function of the lowpass-filtered PD output to determine when  $K_G$  reaches the desired value. If  $K_G$  is increased too much, ringing occurs in R(n), causing R(n) to dip at a particular value of n we call  $n_{peak}$ . This is consistent with the peaking observed in the spectrum of the jitter between data and clock as shown in the same figure. Since any ringing causes  $R(n_{peak})$  to fall below zero, the proposed CDR increases  $K_G$  if  $R(n_{peak})>0$  and decreases it otherwise. This maximizes the bandwidth while avoiding underdamped behaviour. The lowpass filter (LPF) suppresses white or broadband random jitter such as jitter caused by intersymbol interference (ISI), providing better observability of CDR behaviour. Without the LPF, R(n) could be dominated by a delta-function (the autocorrelation function of white noise), obscuring ringing caused by an underdamped CDR.

The task remains of finding  $n_{peak}$  which corresponds to half of the CDR oscillation period if it is highly underdamped. We determine  $n_{peak}$  adaptively by initially setting  $K_G$  to its highest value and observing the period of the CDR's damped oscillation. Using the fact that  $R(n_{peak}/2)\approx 0$ , the feedback loop shown in Fig. 6.7.3 is able to find  $n_{peak}$ . The result is stored as  $n_{peak,REF}$ , and used for the rest of adaptation.

Recent works also use R(n) to adapt PLLs [4,5] and CDRs [6] but by not filtering the PD output, lose observability of CDR behaviour if broadband (e.g. ISI) jitter is present. These works derive conditions on R(n) which minimize jitter. If however, parameters such as the CDR latency or input jitter profile differ from what was assumed in the derivations, or sinusoidal jitter (SJ) is added, the results no longer apply. In contrast, this work adapts  $n_{peak}$  based on measurements and does not rely on any simulated or calculated parameters. It also does not assume a particular jitter profile and can therefore be applied to a variety of CDR topologies and jitter profiles, including SJ, as we discuss later.

The proposed adaptation, shown in Fig. 6.7.3, is implemented in a half-rate 28Gb/s phase interpolator (PI)-based CDR and fabricated in 28nm CMOS. The analog frontend includes a continuous time linear equalizer (CTLE) with active feedback and an inverter-based second stage driving six half-rate samplers including an eye-monitor used for diagnostics. An injection-locked oscillator (ILO) locked to an external reference clock generates quadrature clocks for the 7b CMOS inverter-based PIs. The demuxed data is sent to PD logic in the digital core.

After majority voting (MV), which comprises a moving average followed by a slicer, the PD outputs are scaled by  $K_G$  before entering the LF. Since the inputs to the adaptation block and LF are binary, the implementations of these blocks are greatly simplified. The wide bandwidth of the first MV stage preserves any ringing that needs to be observed to adapt  $n_{peak}$ . The R(n) measurement block input is then further filtered by an MV stage with a programmable bandwidth.

The top two plots of Fig. 6.7.4 show the measured adaptation of  $n_{peak}$  and corresponding R(n) curve, while  $K_G$  is at its highest setting. The results confirm that the adapted  $n_{peak}$  value closely matches the minimum location of R(n). The bottom plots show the measured adaptation of  $K_G$  (after  $n_{peak}$  had already been determined). After adaptation, the JTOL of the CDR is superior compared to when  $K_G$  is too high or too low and as discussed next, high-frequency jitter tolerance is optimized.

Figure 6.7.5 shows the test setup used to assess the performance of the algorithm. Given the CDR loop bandwidth of approximately 10MHz, we plot the lowest out-of-band JTOL measured from 10MHz to 100MHz (for BER<10<sup>-12</sup> and PRBS31 data), as a function of  $K_G$ . The results in Fig. 6.7.5 show that  $K_G$  adapts to within one code of the highest JTOL for two test cases. In Case 1, the reference clock has a 100ppm frequency offset and is phase-modulated to give a phase noise characteristic like that of a PLL. In Case 2, the clock has a 50ppm frequency offset and is FM-modulated to minic the phase noise of a free-running oscillator. Figure 6.7.5 also shows the reference clock phase noise measured in each case. In these measurements,  $K_G$  is adapted prior to applying SJ and held at the adapted value ( $K_{G,REF}$ ) during JTOL tests. Having obtained values for  $K_{G,REF}$  and  $n_{peak,REF}$ , additional logic can also be enabled allowing  $K_G$  to adapt dynamically to better suppress any SJ seen by the CDR. We explain this next.

If SJ becomes dominant, ringing will be detected in R(n) even if the CDR remains well-damped. If ringing is detected, the additional adaptation logic continuously monitors the output of the  $n_{peak}$  adaptation block to estimate the SJ period by measuring the period of the ringing. By comparing  $n_{peak}$  to  $n_{peak,REF}$ , the logic decides if the detected SJ is within the tracking bandwidth of the CDR. If it is,  $K_G$ increases to better suppress the jitter. If the jitter is out-of-band or no peaking is detected at all,  $K_G$  reduces back to  $K_{G,REF}$  obtained previously.

To demonstrate the effectiveness of this feature, Fig. 6.7.6 plots the highest SJ amplitude for which the CDR can maintain BER<10<sup>-12</sup>, measured for the two test cases described earlier. The results of fixed adaptation (where  $K_G$  stays at  $K_{G,REF}$  after adaptation) are compared to the dynamic case where  $K_G$  continuously adapts to the input jitter. Both results are compared to the best possible result, where  $K_G$  is manually tuned at each frequency. While fixed adaptation optimizes the ability of the CDR to tolerate high frequency SJ, enabling dynamic operation further improves tracking of in-band jitter, nearly matching the performance achieved if  $K_G$  is manually tuned. The entire CDR consumes 106.6mW, of which the eyemonitor consumes about 12%. Figure 6.7.7 shows the die photo, area and power breakdown of the design.

## Acknowledgements:

The authors would like to thank CMC Microsystems for providing CAD tools and measurement equipment, NSERC for partial funding support, and Nikola Nedovic for technical assistance.

## References:

[1] H.-J. Jeon, et al., "A Bang-Bang Clock and Data Recovery Using Mixed Mode Adaptive Loop Gain Strategy," *IEEE JSSC*, vol. 48, no. 6, pp. 1398-1415, June 2013.

[2] H. Song, et al., "A 1.0–4.0-Gb/s All-Digital CDR With 1.0-ps Period Resolution DCO and Adaptive Proportional Gain Control," *IEEE JSSC*, vol. 46, no. 2, pp. 424-434, Feb. 2011.

[3] H. Lee, et al., "Improving CDR Performance via Estimation," *ISSCC*, pp. 1296-1303, Feb. 2006.

[4] S. Jang, et al., "An Optimum Loop Gain Tracking All-Digital PLL Using Autocorrelation of Bang–Bang Phase-Frequency Detection," *IEEE TCAS-I*, vol. 62, no. 9, pp. 836-840, Sept. 2015.

[5] T. K. Kuan, et al., "A Bang Bang Phase-Locked Loop Using Automatic Loop Gain Control and Loop Latency Reduction Techniques," *IEEE JSSC*, vol. 51, no. 4, pp. 821-831, Apr. 2016.

[6] S. W. Kwon, et al., "An Automatic Loop Gain Control Algorithm for Bang-Bang CDRs," *IEEE TCAS-II*, vol. 62, no. 12, pp. 2817-2828, Dec. 2015.



Figure 6.7.1: Conventional bang-bang CDR and proposed adaptive loop gain CDR showing the impact of adaptation on jitter tolerance.







Figure 6.7.5: Measurement setup and results for minimum high-frequency jitter tolerance (between 10-100MHz) vs. CDR loop gain ( $K_c$ ) and phase noise of Ref Ck for two test cases.



Figure 6.7.2: Basic concept of the proposed adaptation showing the relationship between R(n) and the spectrum of the jitter as  $K_{g}$  is varied. Jitter is minimized when  $R(n_{geak})$  is approximately zero.



Figure 6.7.4: Measured adaptation curves for  $n_{peak}$  and  $K_{G}$  measured R(n) at maximum  $K_{G}$  and measured jitter tolerance (for PRBS31 and BER<10<sup>-12</sup>) following adaptation.



Figure 6.7.6: Maximum SJ the CDR can tolerate, comparing max over all  $K_{G}$  settings to fixed adaptation ( $K_{G}$  is fixed after adapting) and dynamic adaptation ( $K_{G}$  dynamically adapts to input jitter). Test cases are the same as those in Fig. 6.7.5.

