



## NEW TECHNIQUE FOR THE CHARACTERIZATION OF Si/SiGe LAYERS USING HETEROSTRUCTURE MOS CAPACITORS

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**Abstract**—This paper presents a comprehensive on-line electrical characterization technique addressing the emerging Si/SiGe MOS technology. It demonstrates that the experimental high frequency and low frequency  $C-V$  characteristics of Si/SiGe heterostructure MOS capacitors can provide accurate material-, process-, and device-related information such as: the valence band offset, Si cap layer thickness, substrate doping, and MOSFET threshold voltages.

### NOTATION

$C_{ox}$	gate oxide capacitance per unit area	$T$	temperature
$C_{HF}$	high frequency gate capacitance per unit area	$V_{FB}$	flat-band voltage
$C_{LF}$	low frequency gate capacitance per unit area	$V_G$	gate voltage
$D_{it}$	interface trapped charge density at the Si-SiO <sub>2</sub> interface	$V_{TH}$	threshold voltage at the top SiGe/Si heterointerface
$E$	energy	$V_{TS}$	threshold voltage at the silicon cap surface
$E_A$	energy level of shallow acceptors	$x$	Ge content in the SiGe film
$E_{AT}$	energy level of deep acceptor traps	$x_{av}$	hole charge centroid location
$E_D$	energy level of shallow donors	$x_{ca}$	silicon cap thickness
$E_{DT}$	energy level of deep donor traps	$x_{dHF}$	depletion layer width obtained from high frequency measurements or calculations
$E_C$	conduction band energy	$x_{dLF}$	depletion layer width obtained from low frequency measurements or calculations
$E_F$	Fermi level energy	$x_{dm}$	maximum depletion layer width in strong inversion
$E_i$	hole eigenenergies in the quantum well	$x_{ox}$	gate oxide thickness
$\hbar$	Planck's constant	$\Delta E_V$	valence band offset between SiGe and Si
$H(\phi_H)$	contribution of holes to the electric field in the SiGe film	$\Delta E_{Vapp}$	apparent valence band offset between SiGe and Si
$k$	Boltzmann constant	$\Delta V_T$	gate voltage window
$m_h$	effective mass of holes	$\epsilon$	permittivity
$n$	electron concentration	$\epsilon_{ox}$	SiO <sub>2</sub> permittivity
$N_{appHF}$	apparent doping obtained from high frequency measurements or calculations	$\epsilon_{Si}$	silicon permittivity
$N_{appLF}$	apparent doping obtained from low frequency measurements or calculations	$\epsilon_{SiGe}$	SiGe permittivity
$N_A$	shallow acceptor concentration	$\phi$	potential
$N_A^-$	ionized shallow acceptor concentration	$\phi_F$	Fermi potential
$N_{AT}$	deep acceptor trap concentration	$\phi_H$	potential at the top heterointerface
$N_B$	effective doping concentration in the bulk of the semiconductor	$\phi_{TH}$	potential at threshold at the top heterointerface
$N_C$	conduction band density of states	$\phi_S$	potential at the surface
$N_D$	shallow donor concentration	$\phi_{TS}$	potential at threshold at the surface
$N_D^+$	ionized shallow donor concentration	$\phi_{MS}$	work function difference between the gate and the silicon substrate
$N_{DT}$	deep donor trap concentration	$\psi_i$	hole wavefunctions
$N_{vSi}$	valence band density of states in silicon	$\nabla$	gradient
$N_{vSiGe}$	valence band density of states in SiGe		
$p$	hole concentration		
$p_H$	hole concentration at the heterointerface		
$p_S$	hole concentration at the surface		
$q$	electron charge		
$Q_f$	fixed oxide charge at the Si-SiO <sub>2</sub> interface		
$Q_T$	total charge in the semiconductor		

### 1. INTRODUCTION

A salient feature of the Si/Si<sub>1-x</sub>Ge<sub>x</sub> heterostructure system is the possibility of realizing high quality oxides, comparable to those obtained on Si[1,2]. It is the purpose of this paper to show that the Si/SiGe

heterostructure MOS capacitor can become an excellent and thorough characterization vehicle for Si-based heterostructure technology. The structure used in this investigation is shown in Fig. 1 and is similar to that of a conventional MOS capacitor, except for the presence of the SiGe channel, separated from the Si/SiO<sub>2</sub> interface by a thin Si cap layer.

Since the type I Si/SiGe heterojunction has a negligible conduction band offset, the accumulation and depletion regions of the low frequency  $C_{LF}-V_G$  capacitance-voltage characteristics are similar to those of a MOS capacitor fabricated on Si, as illustrated in Fig. 2. The high frequency  $C_{HF}-V_G$  characteristics of Si/SiGe capacitors also resemble those of their Si counterparts. As a consequence, the oxide thickness  $x_{ox}$ , substrate doping  $N_B$ , maximum depletion depth  $x_{dm}$ , and the flat-band voltage  $V_{FB}$  can be extracted directly from the experimental  $C-V$  curves according to established techniques[3].

The differences between heterostructure and homostructure MOS capacitors become apparent only in the inversion regime of the  $C_{LF}-V_G$  characteristics. The heterostructure capacitor exhibits two thresholds,  $V_{TH}$  corresponding to charge inversion at the top Si/SiGe heterojunction, and  $V_{TS}$  related to charge inversion at the Si/SiO<sub>2</sub> interface[4]. The  $C_{LF}-V_G$  characteristics also show a plateau of slow-varying capacitance. The value of the plateau capacitance depends on the thickness of the Si cap layer  $x_{ca}$ . Its width is determined by the valence band offset  $\Delta E_v$  at the top Si/SiGe interface and by  $x_{ca}$ . These features can be used to extract accurate material-

related data for the heterostructure, most importantly, the valence band offset.

To achieve this goal, one needs to (a) determine the cap layer thickness  $x_{ca}$  and the threshold voltages, and (b) to quantify the relation between  $\Delta E_v$  on one side, and  $V_{TH}$ ,  $V_{TS}$  and  $x_{ca}$  on the other. It is in the extraction of the cap layer thickness and of the valence band offset that this paper offers original solutions.

The rest of the paper is organized as follows. Section 2 describes the  $C_{LF}-V_G$  characteristics and the resulting apparent doping profiles of heterostructure MOS capacitors as obtained from self-consistent numerical solutions of Poisson's and Schrödinger's equations. An analytic model is employed to calculate the valence band offset as a function of the measured threshold voltages and of the structural parameters. The sources of errors that may affect the value of the extracted valence band offset are also addressed. Section 3 presents the new characterization technique. Section 4 describes the test structures and the experimental validation of the characterization technique. Conclusions are provided in Section 5.

## 2. THEORETICAL BACKGROUND

### 2.1. $C-V$ characteristics of heterostructure MOS capacitors

Numerical simulations have been carried out to investigate the quantitative link between the internal layer structure and the  $C_{LF}-V_G$  characteristics of Si/SiGe MOS capacitors. As will be explained, the position of the inversion charge at the top Si/SiGe heterojunction must be accurately known in order to determine the cap layer thickness. A classical approach using Fermi-Dirac statistics does not explain the size-quantization induced offset between the heterojunction and the position of the centroid of the accumulated charge. Because this quantum effect has to be accounted for, a numerical simulator was developed that self-consistently solves Poisson's equation:

$$\nabla(\epsilon \nabla \phi) = -q[N_D^+ - N_A^- - n + p], \quad (1)$$

and Schrödinger's equation:

$$-\frac{\hbar^2}{2} \nabla \left( \frac{1}{m_h} \nabla \psi_i \right) + (\phi - E_i) \psi_i = 0, \quad (2)$$

in one dimension to obtain the potential  $\phi$ , hole wavefunctions  $\psi_i$ , corresponding to each eigenenergy  $E_i$ , and the concentration of holes  $p$  throughout the structure[5]:

$$p = \frac{m_h k T}{\pi \hbar^2} \sum_{i=1}^{\infty} |\psi_i|^2 \ln \left[ 1 + \exp \left( \frac{E_i - E_F}{k T} \right) \right]. \quad (3)$$

Since only the inversion region of the  $C_{LF}-V_G$  characteristics is of interest, the electron concentration is

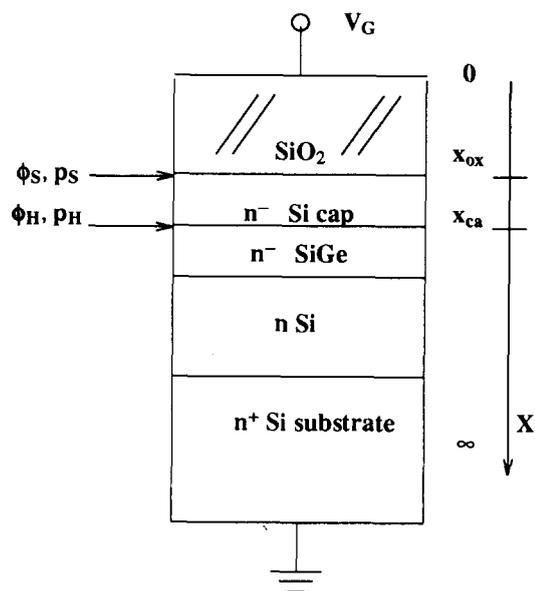


Fig. 1. Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS capacitor used as test structure. Notations used for hole concentration and electric potential at the top heterojunction and at the Si/SiO<sub>2</sub> interface are also shown.

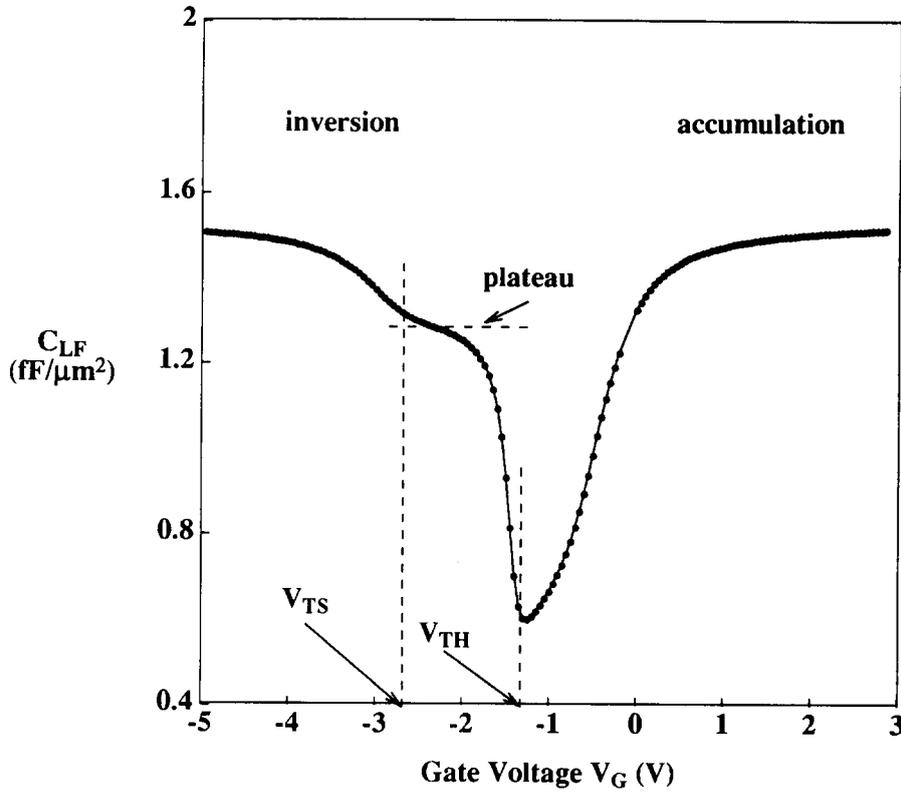


Fig. 2. Typical  $C_{LF}$ - $V_G$  characteristics of Si/Si<sub>1-x</sub>Ge MOS capacitors showing threshold voltages and capacitance plateau.

assumed to obey Boltzmann statistics and is included as such in Poisson's equation:

$$n = N_C \exp\left(\frac{E_F - E_C}{kT}\right). \quad (4)$$

The analysis also accounts for interface trapped charge  $D_{it}$ , fixed interface charge  $Q_f$  and for the contribution of deep level  $N_{DT}$ ,  $N_{AT}$ , and shallow level traps  $N_D$ ,  $N_A$ , located either in the Si or in the SiGe film. The ionized fixed charge densities  $N_D^+$  and  $N_A^-$  are calculated as functions of the trap energies:  $E_{DT}$ ,  $E_D$ ,  $E_{AT}$ ,  $E_A$ , according to the formulae[6]:

$$N_D^+ = \frac{N_D}{1 + 2 \exp\left(\frac{E_F - E_D}{kT}\right)} + \frac{N_{DT}}{1 + 2 \exp\left(\frac{E_F - E_{DT}}{kT}\right)} \quad (5)$$

$$N_A^- = \frac{N_A}{1 + 4 \exp\left(\frac{E_A - E_F}{kT}\right)} + \frac{N_{AT}}{1 + 4 \exp\left(\frac{E_{AT} - E_F}{kT}\right)}. \quad (6)$$

The simulator calculates the potential  $\phi_H$  and the peak hole concentration  $p_H$  at the top Si/SiGe heterojunction, and at the Si/SiO<sub>2</sub> interface  $\phi_S$ ,  $p_S$ , respectively, the overall charge:

$$Q_T(V_G) = \int_{-\infty}^{\infty} q[N_D^+(x, V_G) - N_A^-(x, V_G) - n(x, V_G) + p(x, V_G)] dx \quad (7)$$

and the hole charge centroid location:

$$x_{av}(V_G) = \frac{\int_{-\infty}^{\infty} xp(x, V_G) dx}{\int_{-\infty}^{\infty} p(x, V_G) dx}, \quad (8)$$

all as functions of the gate voltage  $V_G$ . Next, the low frequency capacitance, which is a measurable terminal function, is calculated:

$$C_{LF}(V_G) = \frac{\partial Q_T(V_G)}{\partial V_G}. \quad (9)$$

Such characteristics are compared in Fig. 3 for Si and Si/SiGe MOS capacitors. There is no capacitance plateau in the case of the Si structure, but the accumulation regions are identical, allowing for the simple extraction of the flat-band voltage.

## 2.2. Apparent doping profiles and their interpretation

From the calculated  $C_{LF}$ - $V_G$  characteristics, the depletion depth  $x_{dLF}$ :

$$x_{dLF}(V_G) = \epsilon_{Si} \left( \frac{1}{C_{LF}(V_G)} - \frac{1}{C_{ox}} \right), \quad (10)$$

and apparent doping  $N_{appLF}$ :

$$\frac{1}{N_{appLF}(V_G)} = \frac{q\epsilon_{Si}}{2} \frac{\partial \left( \frac{1}{C_{LF}^2(V_G)} \right)}{\partial V_G}, \quad (11)$$

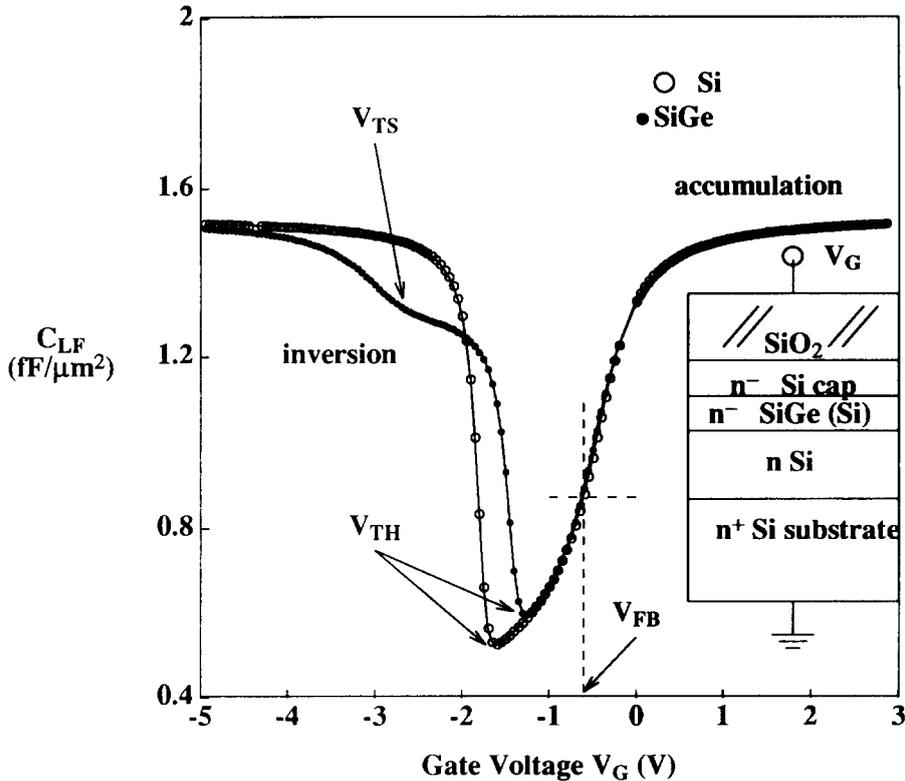


Fig. 3. Comparison of simulated  $C_{LF}$ - $V_G$  characteristics of Si and Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS capacitors with layer structure shown in the inset.

are obtained as functions of the applied gate voltage. The term "apparent doping" refers to the contributions of both fixed and mobile charge.

Further, the computed  $C_{LF}$ - $V_G$  and  $N_{appLF}$ - $V_G$  profiles are correlated with the voltage dependence of the peak hole concentrations at the top heterojunction and at the Si/SiO<sub>2</sub> interface. The thresholds  $V_{TH}$  and  $V_{TS}$  are defined as the gate voltages at which the peak hole concentration at the respective interface equals the background doping:

$$p_H(V_G = V_{TH}) = N_B, \quad p_S(V_G = V_{TS}) = N_B.$$

If the high frequency capacitance voltage characteristics are employed in eqns (10) and (11) then the corresponding high frequency depletion depth and apparent doping,  $x_{dHF}$  and  $N_{appHF}$ , respectively, are obtained.

The  $C_{LF}$ - $V_G$  characteristics cannot directly provide very accurate values for  $V_{TH}$ ,  $V_{TS}$  and  $x_{ca}$  because the transitions between the various regions of the inversion regime tend to be smoothed out at room temperature. To precisely identify  $V_{TH}$  and  $V_{TS}$ , one has to plot the  $N_{appLF}$ - $V_G$  characteristics.

The absolute value of the apparent doping characteristics for the structure in Fig. 3 is plotted in Fig. 4. It exhibits two regions in which the apparent doping is high and dominated by the mobile charge: one for large positive bias corresponding to accumulation of electrons, and another, for large negative bias, associ-

ated with strong inversion. Between them lie the regions of interest to the characterization method: namely a plateau corresponding to the depletion regime whose value gives the substrate doping ( $N_B = N_D - N_A$ ), and sharp transitions with local maxima related to the thresholds. The onset of inversion at the top heterojunction is marked by a change of sign and an abrupt transition on the  $N_{appLF}$ - $V_G$  characteristics while inversion at the Si/SiO<sub>2</sub> interface is signalled by a local maximum of the apparent doping. This local maximum is particular to SiGe structures and gives the value of  $V_{TS}$ .

The thickness of the cap layer can be extracted from the apparent doping vs depth profile  $N_{appLF}$ - $x_{dLF}$ , as shown in Fig. 5. This curve is obtained by combining the  $x_{dLF}$ - $V_G$  and  $N_{appLF}$ - $V_G$  characteristics, from eqns (10) and (11), respectively. There are two apparent doping values, of opposite sign, for each position  $x_{dLF}$ , one corresponding to the inversion and one to the accumulation/depletion regime of the  $C_{LF}$ - $V_G$  characteristics. Only the absolute value of the apparent doping profile is shown. The apparent doping obtained from the inversion region exhibits three peaks, corresponding (from the left to right) to: (a) the accumulation of mobile holes at the Si/SiO<sub>2</sub>, (b) accumulation of holes at the top Si/SiGe heterojunction and (c) to the maximum depletion depth, where the change of sign in the apparent doping occurs. The thickness of the Si cap layer can be

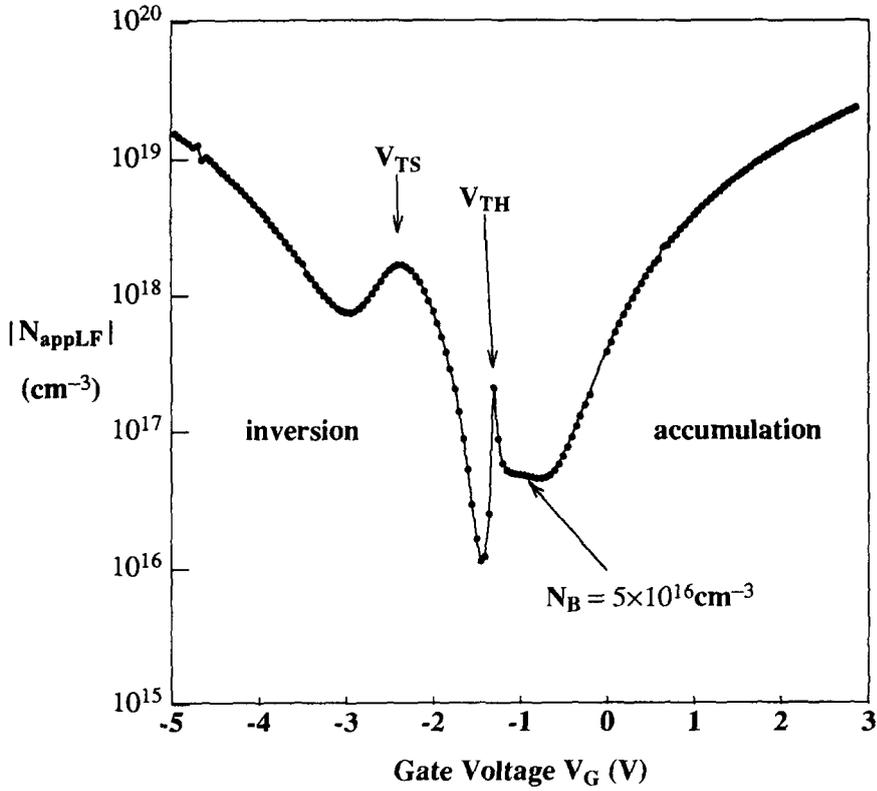


Fig. 4. Low frequency apparent doping vs gate voltage characteristics for a Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS determined from the simulated  $C_{LF}-V_G$  characteristics of Fig. 3.

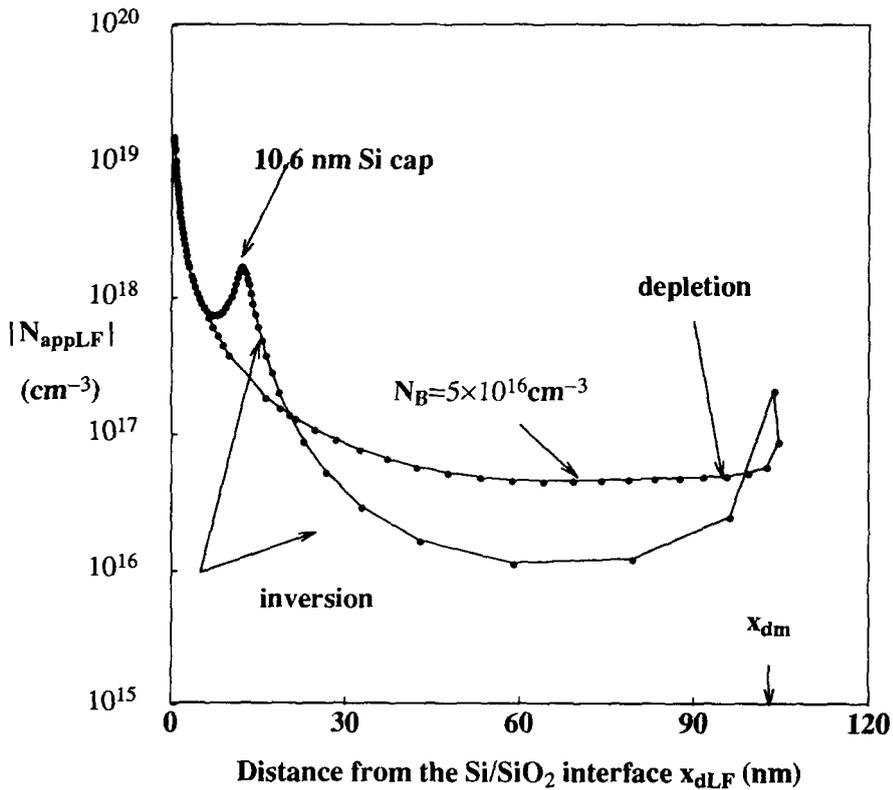


Fig. 5. Low frequency apparent doping vs depth profiles in Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS capacitors obtained from the simulated  $C_{LF}-V_G$  characteristics of Fig. 3.

directly extracted from the position of the second peak.

### 2.3. Calculation of the valence band offset

To provide the quantitative relation between the measured threshold voltages and the valence band offset, a recently developed analytical model that predicts the threshold voltages in a Si/SiGe MOSFET is employed[4]. The inversion potential at the top Si/SiGe heterojunction  $\phi_{TH}$  and at the Si/SiO<sub>2</sub> interface  $\phi_{TS}$ , respectively, is defined as:

$$\phi_{TH} = \phi_H(V_G = V_{TH}), \quad \phi_{TS} = \phi_S(V_G = V_{TS}).$$

We start from the expressions that relate  $V_{TH}$  and  $V_{TS}$  to the structural parameters of the capacitor:

$$V_{TH} = V_{FB} + \phi_{TH} - qN_B x_{dm} \left( \frac{x_{ca}}{\epsilon_{Si}} + \frac{x_{ox}}{\epsilon_{ox}} \right) \quad (12)$$

$$V_{TS} = V_{FB} + \phi_{TS} - \frac{qN_B x_{dm}}{C_{ox}} \sqrt{1 + H(\phi_H)}, \quad (13)$$

where:

$$\begin{aligned} \phi_{TH} &= 2\phi_F + \frac{\Delta E_V}{q} \\ H(\phi_H) &= hfo \exp\left(\frac{\phi_{TH} - \phi_H}{kT/q}\right) \\ hfo &= \frac{2\epsilon_{SiGe} N_B kT}{(qN_B x_{dm})^2} \end{aligned}$$

and

$$\Delta V_T = V_{TH} - V_{TS} \text{ (the gate voltage window).}$$

By subtracting eqn (13) from eqn (12) and rearranging, a system of two nonlinear equations (14) and (15), with  $\Delta E_V$  and  $\phi_H$  as unknowns is obtained:

$$\begin{aligned} \Delta E_V &= \phi_H - 2\phi_F + \frac{kT}{q} \\ &\times \ln \left[ \frac{1 + C_{ox} \frac{x_{ca}}{\epsilon_{Si}} + \frac{C_{ox} (\Delta V_T + \Delta E_V)^2}{qN_B x_{dm}}}{hfo} - 1 \right] \end{aligned} \quad (14)$$

$$\phi_H = \phi_{TH} - \frac{kT}{q} \ln \left[ \frac{\left[ \frac{\epsilon_{Si} (\phi_H - 2\phi_F)}{qN_B x_{dm} x_{ca}} \right]^2 - 1}{hfo} \right]. \quad (15)$$

One can calculate the valence band offset by iterating eqns (14) and (15) until a solution is found. The valence band offset determined in this manner refers to the properties of the SiGe region in the vicinity of the top Si/SiGe interface. If the SiGe layer is graded, the measured band offset reflects the Ge content at the interface, and not the average Ge content in the channel[4].

### 2.4. Sources of error affecting $\Delta E_V$

Errors in the determination of the valence band offset may be attributed to: (a) equipment limitations,

(b) interface and/or bulk traps and (c) model limitations.

**2.4.1. Equipment limitations.** The sensitivity of the measured valence band offset to inaccuracies in the values of the structural parameters was simulated. It was found that errors in  $C_{ox}$ ,  $x_{ca}$ ,  $x_{dm}$  and  $N_B$  affect  $\Delta E_V$  linearly. Of these  $x_{ca}$  requires corrections to account for quantum effects. Simulations using two-dimensional (2D) hole gas statistics indicate that the hole charge centroid is located about 2 nm away from the top Si/SiGe heterointerface. This offset has to be subtracted from the experimentally extracted value of  $x_{ca}$ .

**2.4.2. Interface and bulk traps.** According to the eqns (12) and (13), both  $V_{TH}$  and  $V_{TS}$  depend on the flat-band voltage  $V_{FB}$ . However, because the latter's effect cancels out in  $\Delta V_T$ , fixed surface charge  $Q_f$  and  $\phi_{MS}$  do not affect the value of the measured valence band offset. The major source of uncertainty associated with the value of  $\phi_{MS}$  is thus eliminated.

Even a small interface trapped charge concentration (of the order of  $10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$ ) changes the shape of the low frequency apparent doping profile  $N_{appLF} \sim x_{dLF}$  in the depletion regime, raising its value and reducing the maximum depletion depth. In order to eliminate any inaccuracies on  $\Delta E_V$  due to incorrect maximum depletion depth and substrate doping values, both  $x_{dm}$  and  $N_B$  must be determined from the high frequency apparent doping profile  $N_{appHF} \sim x_{dHF}$  since traps do not respond to high frequency signals.

In strong inversion, the impact of the interface traps on the  $N_{appLF} \sim x_{dLF}$  profile is eliminated by the large concentration of holes piling up at the Si/SiO<sub>2</sub> and Si/SiGe interfaces. The cap layer thickness, given by the position of the hole charge centroid should therefore be insensitive even to moderately high trap density.

The interface trapped charge distribution  $D_{it}(E)$ , located between the positions of the Fermi level at the Si/SiO<sub>2</sub> interface corresponding to  $V_{TH}$  and  $V_{TS}$ , respectively, also alters the measured gate voltage window  $\Delta V_T$ . Simulation results indicate that a uniformly distributed interface trap density of  $2 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  causes errors of 25 mV in the gate voltage window  $\Delta V_T$  and 0.5 nm in the cap layer thickness. It may be safely concluded that by simultaneously using experimental low frequency and high frequency apparent doping profiles the error caused by the interface trapped charge density on the extracted valence band offset can be minimized.

Errors in  $\Delta E_V$  can also be caused by bulk traps in SiGe[7], if their concentration is larger than one fifth of the active doping. Their presence is signaled by a kink in the depletion region of the  $C_{LF} \sim V_G$  characteristics which in turn causes an easily identifiable local peak on the  $N_{appLF} \sim x_{dLF}$  profile.

**2.4.3. Model limitations.** Due to the lack of reliable experimental data for the valence band density of

states in SiGe, the densities of states in Si and SiGe were tacitly assumed to be identical in the derivation presented in the preceding section. Therefore, the analytical model actually gives an “apparent” valence band offset:

$$\Delta E_{Vapp} = \Delta E_V - kT \ln \left[ \frac{N_{VSi}}{N_{VSiGe}} \right]. \quad (16)$$

By neglecting the contribution of the second term in eqn (16) the error will not be larger than  $\pm 25$  meV at room temperature.

For Ge mole fractions smaller than 30%, the hole charge at the top Si/SiGe heterojunction is screened by the charge at the Si/SiO<sub>2</sub> interface and neither the cap layer thickness nor the surface conduction threshold  $V_{TS}$  can be precisely identified at room temperature. To overcome this problem the sample must be cooled. At 77 K, even samples with 15% Ge clearly exhibit the hole charge accumulation at the top Si/SiGe interface.

Finally, eqns (12) and (13) are based on Boltzmann statistics. Comparison of simulated and analytically derived threshold voltages indicate that the analytical model underestimates both thresholds by 15–25 mV. This error cancels in  $\Delta V_T$  and does not affect the extracted value of  $\Delta E_V$ .

### 3. PROPOSED CHARACTERIZATION TECHNIQUE

Based on the discussion above, the following steps can be used to characterize the Si/SiGe layers:

- (a) The oxide capacitance, flatband voltage, maximum depletion depth and the effective substrate doping are extracted from experimental  $C_{HF}-V_G$  characteristics and  $N_{appHF}-x_{dHF}$  profile, respectively, as for a Si MOS capacitor. Interface trapped charge density and bulk traps, which do not respond to the high frequency signal, will thus not influence the extracted values of the substrate doping and maximum depletion depth.
- (b) Equations (10) and (11) are then applied to the experimental  $C_{LF}-V_G$  characteristics to determine the  $N_{appLF}-V_G$  characteristics and the  $N_{appLF}-x_{dLF}$  profile. From the inspection of the latter, accurate values of the threshold voltages  $V_{TH}$ ,  $V_{TS}$  and of the cap layer thickness  $x_{ca}$  are obtained in the manner outlined in the preceding section.
- (c) The data obtained in the previous two steps are fed into eqns (14) and (15) to determine the valence band offset.

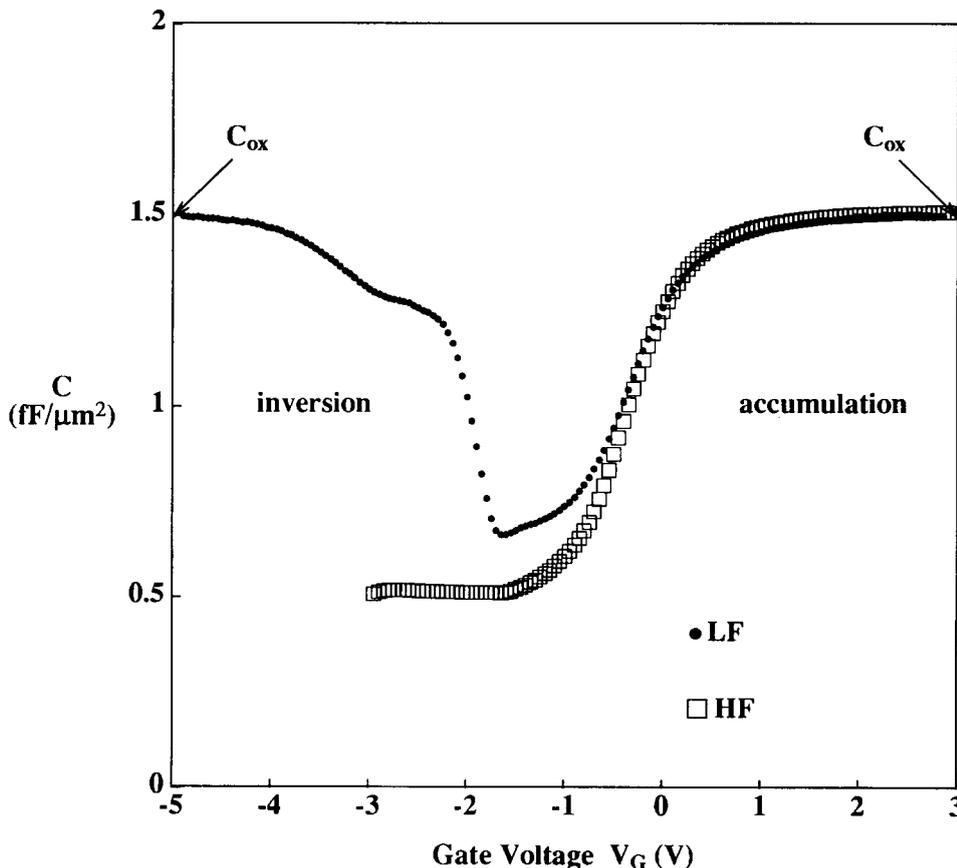


Fig. 6. Typical experimental  $C_{HF}-V_G$  and  $C_{LF}-V_G$  characteristics of graded (0–47% Ge) Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS capacitors. The structure is similar to that presented in Fig. 3.

- (d)  $D_i$  is estimated from the difference between the experimental  $C_{LF}-V_G$  and  $C_{HF}-V_G$  characteristics[8] and by matching the simulated and measured low frequency apparent doping profiles.
- (e)  $Q_f$  is extracted from the difference between the measured and calculated flatband voltage, after the contribution of the interface trapped charge on the flatband voltage has been removed.

As in the case of Si MOS capacitors, the accuracy of the interface trapped charge density determined in step (d) is limited to the midgap region.

#### 4. EXPERIMENTAL RESULTS

For experimental confirmation, Si/SiGe wafers were grown by MBE with the following layer structure:  $n^+$  substrate doped  $2 \times 10^{19} \text{ cm}^{-3}$ ,  $n$ -type Si epitaxial layer doped  $2 \times 10^{17} \text{ cm}^{-3}$  and  $0.3 \mu\text{m}$  thick,  $n$ -type Si spacer doped  $5 \times 10^{16} \text{ cm}^{-3}$  and  $200 \text{ nm}$  thick, undoped SiGe layer  $15 \text{ nm}$  thick, and undoped Si cap layer  $10 \text{ nm}$  thick. The Ge mole fraction was graded from 0% (bottom) to 50% (top). A low thermal-budget process was employed to create the gate oxide: 1 min RTO at  $850^\circ\text{C}$ , followed by a 3 min LPCVD (139 mtorr, 12%  $\text{O}_2$ , 6.7%  $\text{SiH}_4$ ) at  $410^\circ\text{C}$  and followed by a 1 min RTO at  $850^\circ\text{C}$ . Capacitors with areas varying from  $6.25 \times 10^{-6}$  to

$1.52 \times 10^{-2} \text{ cm}^2$  were defined by Al deposition and wet-etch patterning. Al was also sputtered on the back side to provide a large-area ohmic contact. A postmetallization anneal was performed in forming gas at  $420^\circ\text{C}$  for a duration of 20 min.

The doping profile was independently confirmed by spreading resistance measurements. Auger spectroscopy was used to verify the Ge profile. The depth resolution was  $5 \text{ nm}$ , sufficient to confirm the thickness of the SiGe film and its graded profile (approximately  $20 \text{ nm}$ ) but not accurate enough to determine the thickness of the cap layer, and the precise Ge concentration at the top Si/SiGe interface. The Ge profile linearly increases from 0% Ge for about  $12 \text{ nm}$ , saturates at just above 40% Ge and then drops steeply back to 0%. If one extrapolates the slope of Ge grading in the linear region, a value close to 50% Ge is found at the top Si/SiGe interface.

The  $C_{LF}-V_G$  and  $C_{HF}-V_G$  characteristics were measured using Hewlett Packard's 4280A 1 MHz C Meter and are plotted in Fig. 6. Corrections were made for parasitic capacitances and leakage currents but no attempt was made to smooth out the experimental data by interpolation. First, the oxide thickness  $x_{ox} = 22.3 \text{ nm}$  was determined from the  $C_{LF}-V_G$  characteristics presented in Fig. 6, and the substrate doping  $N_B = 5 \times 10^{16} \text{ cm}^{-3}$  was obtained from the associated  $N_{appHF}-x_{dHF}$  profile, shown in Fig. 7. Then, from the inversion region of the experimental  $C_{LF}-V_G$ ,

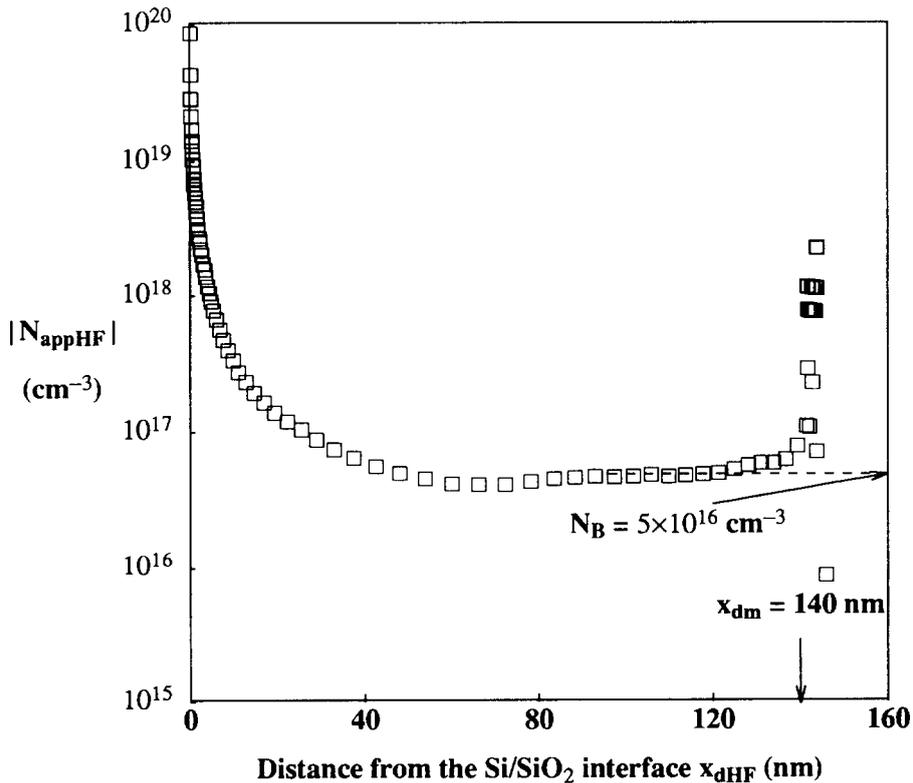


Fig. 7. Experimental high frequency apparent doping vs depth profiles in  $\text{Si/Si}_{1-x}\text{Ge}_x$  MOS capacitors obtained from the measured  $C_{HF}-V_G$  characteristics of Fig. 6.

characteristics, plotted in Fig. 8, the  $N_{\text{appLF}}-V_G$  and  $N_{\text{appLF}}-x_{\text{dLF}}$  profiles were calculated and are presented in Figs 9 and 10, respectively. The two thresholds  $V_{\text{TH}} = -1.65$  V and  $V_{\text{TS}} = -2.8$  V were identified from the experimental trace in Fig. 9 and the cap layer thickness  $t_{\text{ca}} = 10.6$  nm was deduced from the apparent doping vs depth profile, as shown in Fig. 10. Even though it is not evident from the experimental characteristics in Fig. 9,  $V_{\text{TH}}$  can be easily identified because it corresponds to a change of sign in the apparent doping.

Using these data, the valence band offset  $\Delta E_v = 347$  meV was extracted by solving eqns (14) and (15). If one assumes that the valence band offset depends on the Ge mole fraction  $x_{\text{Ge}}$  according to the formula[9]:

$$\Delta E_v = 0.74 * x_{\text{Ge}}$$

then the measured valence band offset corresponds to a Ge mole fraction of 0.47.

An average interface trap density  $D_{\text{it}} = 7 \times 10^{10} \text{ cm}^{-2} \text{ eV}^{-1}$  was estimated from the difference between the experimental low frequency and high

frequency  $C-V$  curves. As explained in Section 2.4, this value is low enough not to significantly affect the accuracy of the measured valence band offset.

To validate the characterization technique, the extracted structural and material parameters were used as input data into the heterostructure MOS capacitor simulator. A uniformly distributed interface trap density of  $7 \times 10^{10} \text{ cm}^{-2} \text{ eV}^{-1}$  was included in the simulation and a fixed charge density  $Q_f = 2.8 \times 10^{11} \text{ cm}^{-2}$  was determined by superimposing the calculated and experimental  $C_{\text{LF}}(V_G)$  characteristics, as shown in Fig. 8. The simulated and experimentally derived  $N_{\text{appLF}}-V_G$  and  $N_{\text{appLF}}-x_{\text{dLF}}$  characteristics are plotted in Figs 9 and 10, respectively. They all show good agreement between theory and experiment.

## 5. CONCLUSION

A novel technique for the characterization of Si/SiGe layers was developed and verified experimentally using heterostructure MOS capacitors as test structures. Quantum-mechanics numerical modeling

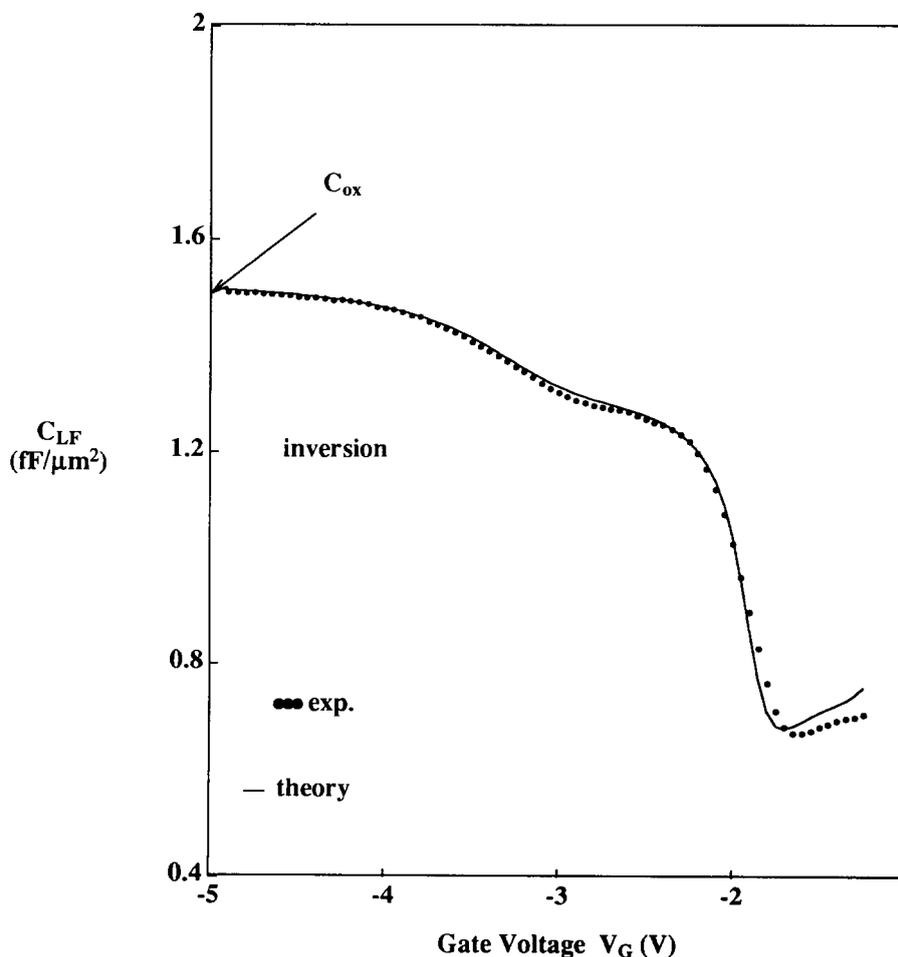


Fig. 8. Comparison of measured and simulated  $C_{\text{LF}}-V_G$  characteristics of  $\text{Si/Si}_{1-x}\text{Ge}_x$  MOS capacitors based on extracted structural and material data.

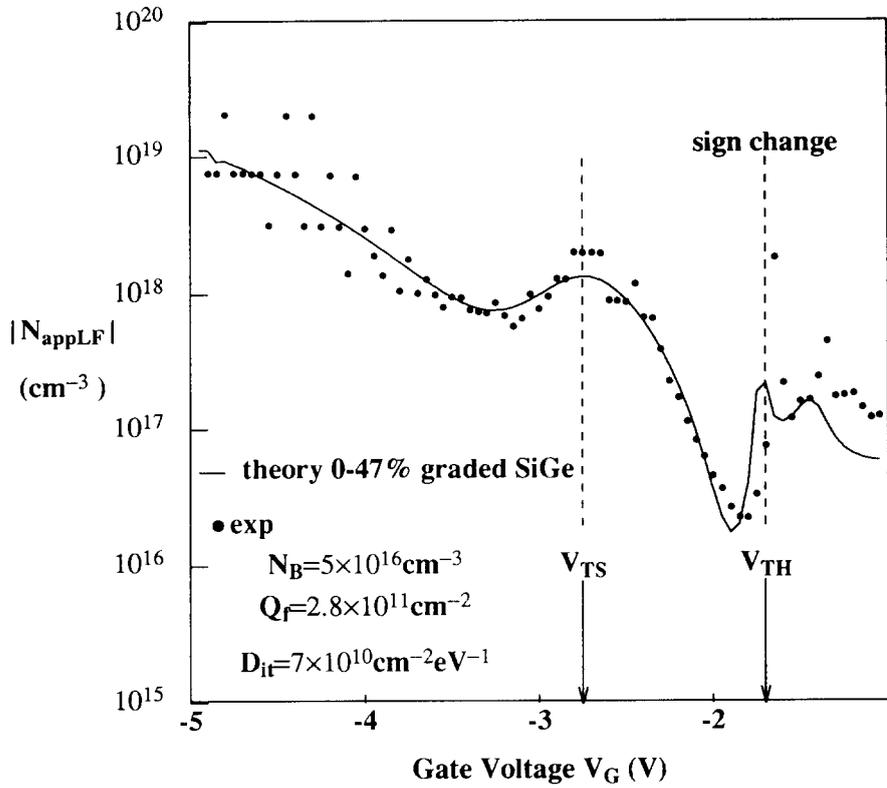


Fig. 9. Simulated and experimental apparent doping vs gate voltage characteristics for a graded 0–47% Ge Si/Si<sub>1-x</sub>Ge<sub>x</sub> MOS capacitor as determined from the measured  $C_{LF}-V_G$  characteristics shown in Fig. 6.

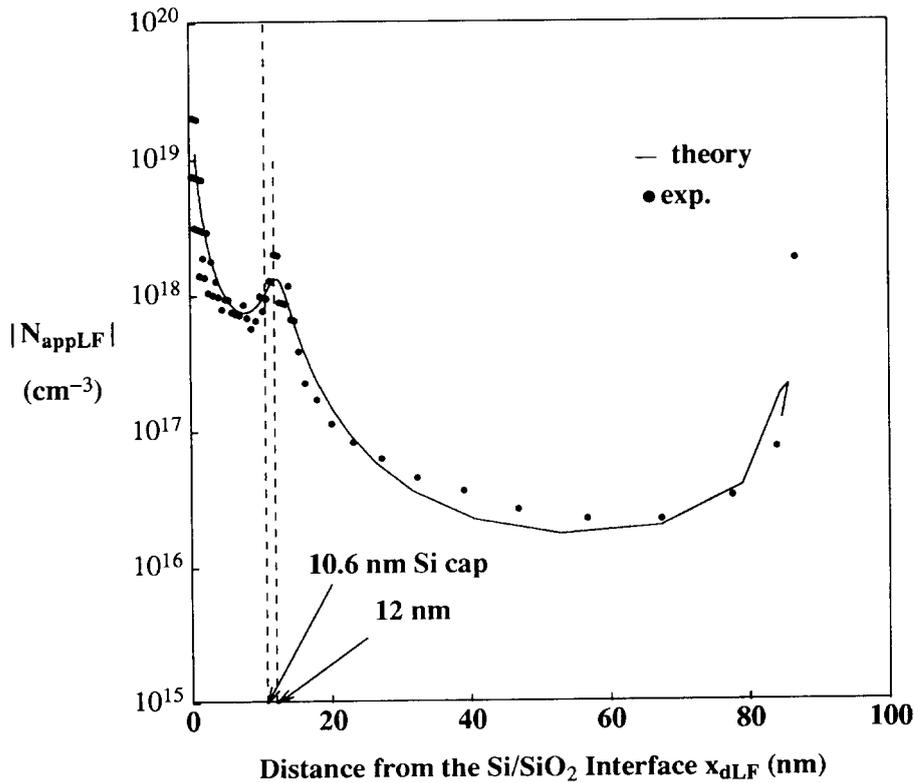


Fig. 10. Simulated and experimental apparent doping vs depth profiles. The experimental data are obtained from the inversion region of the measured  $C_{LF}-V_G$  characteristics of Fig. 6. The size-quantization-induced offset between the cap layer and the position of the hole charge centroid is also illustrated.

was employed to explain the features of the  $C-V$  characteristics and of the apparent doping profiles and to assess the theoretical limits of the characterization method. The method itself relies on direct low frequency and high frequency  $C-V$  measurements and on simple analytical calculations. It allows for the accurate extraction of the threshold voltages of the corresponding MOSFET, of the valence band offset and Si cap layer thickness. Possible sources of error in the extracted value of the valence band offset were identified and discussed. It is instrumental for the ultimate success of the characterization technique that high frequency and low frequency measurements be carried out in conjunction, so that errors due to interface traps be removed.

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